PREA Facility Audit Report: Final

Name of Facility: Knox County Work Release Center
Facility Type: Prison / Jail
Date Interim Report Submitted: NA
Date Final Report Submitted: 08/26/2026

Auditor Certification	
The contents of this report are accurate to the best of my knowledge.	☑
No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.	☑
I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template.	☑
Auditor Full Name as Signed: Barbara Jo Denison	**Date of Signature:** 08/26/2026

AUDITOR INFORMATION	
Auditor name:	Denison, Barb
Email:	denisobj@sbcglobal.net
Start Date of On-Site Audit:	07/20/2026
End Date of On-Site Audit:	07/21/2026

FACILITY INFORMATION	
Facility name:	Knox County Work Release Center
Facility physical address:	4900 Maloneyville Road, Knoxville, Tennessee - 37918
Facility mailing address:	5001 Maloneyville Road, Knoxville, - 37918

Primary Contact

Name:	Lisa Kidd
Email Address:	lisa.kidd@Knoxsheriff.org
Telephone Number:	8652816713

Warden/Jail Administrator/Sheriff/Director	
Name:	Captain David Sparkes
Email Address:	david.sparkes@knoxsheriff.org
Telephone Number:	865-680-6247

Facility PREA Compliance Manager	
Name:	Kevin McCandrew
Email Address:	kevin.mccandrew@knoxsheriff.org
Telephone Number:	(865) 281-6747
Name:	Eric Baker
Email Address:	eric.baker@knoxsheriff.org
Telephone Number:	(865) 281-0607

Facility Characteristics	
Designed facility capacity:	120
Current population of facility:	111
Average daily population for the past 12 months:	112
Has the facility been over capacity at any point in the past 12 months?	No
What is the facility's population designation?	Men/boys
Age range of population:	19-67

Facility security levels/inmate custody levels:	Minimum and Medium
Does the facility hold youthful inmates?	No
Number of staff currently employed at the facility who may have contact with inmates:	14
Number of individual contractors who have contact with inmates, currently authorized to enter the facility:	3
Number of volunteers who have contact with inmates, currently authorized to enter the facility:	6

AGENCY INFORMATION	
Name of agency:	Knox County Sheriff's Office
Governing authority or parent agency (if applicable):	
Physical Address:	400 Main Street Southwest, Knoxville, Tennessee - 37902
Mailing Address:	
Telephone number:	

Agency Chief Executive Officer Information:	
Name:	Chief William Purvis
Email Address:	william.purvis@knoxsheriff.org
Telephone Number:	865-971-3905

Agency-Wide PREA Coordinator Information			
Name:	Lisa Kidd	**Email Address:**	lisa.kidd@knoxsheriff.org

Facility AUDIT FINDINGS

Summary of Audit Findings

The OAS automatically populates the number and list of Standards exceeded, the number of Standards met, and the number and list of Standards not met.

Auditor Note: In general, no standards should be found to be "Not Applicable" or "NA." A compliance determination must be made for each standard. In rare instances where an auditor determines that a standard is not applicable, the auditor should select "Meets Standard" and include a comprehensive discussion as to why the standard is not applicable to the facility being audited.

Number of standards exceeded:	
7	• 115.11 - Zero tolerance of sexual abuse and sexual harassment; PREA coordinator • 115.13 - Supervision and monitoring • 115.17 - Hiring and promotion decisions • 115.31 - Employee training • 115.41 - Screening for risk of victimization and abusiveness • 115.42 - Use of screening information • 115.88 - Data review for corrective action
Number of standards met:	
38	
Number of standards not met:	
0	

POST-AUDIT REPORTING INFORMATION

Please note: Question numbers may not appear sequentially as some questions are omitted from the report and used solely for internal reporting purposes.

GENERAL AUDIT INFORMATION

On-site Audit Dates

1. Start date of the onsite portion of the audit:	2026-07-20
2. End date of the onsite portion of the audit:	2026-07-21

Outreach

10. Did you attempt to communicate with community-based organization(s) or victim advocates who provide services to this facility and/or who may have insight into relevant conditions in the facility?	(●) Yes ( ) No

a. Identify the community-based organization(s) or victim advocates with whom you communicated:	The agency has a Memorandum of Understanding (MOU) with the Sexual Assault Center of East Tennessee, a Program of the Helen Ross McNabb Center. On 6/30/26, the Director of Victim Services of that agency was contacted to confirm and review the terms of the MOU. The Center provides a 24-hour crisis hotline that inmates can access by dialing *999 on an inmate telephone. If an inmate reports sexual abuse, the advocate will follow-up with KCSO's PREA Coordinator with the permission of the inmate. The inmate may remain anonymous if he/she chooses to. The Center has 14 SANE nurses and one SANE Coordinator on staff. SANE exams are performed at the Helen Ross McNabb Center or at a local hospital. In addition, the agency employees six full-time advocates, two PRN advocates and four therapists. The Sexual Assault Center of East Tennessee ensures a victim advocate be available at the victim's request during the SANE exam and during investigative interviews. Victims of sexual abuse are offered sexually transmitted infections and contraception prophylaxis. Following the SANE exam, advocates offer victims emotional support services, counseling, information and referrals upon the inmate's release from KCSO custody. Advocates are available to accompany victims for civil and criminal court services and can assist victims with applying for victim compensation and orders of protection. All services provided are confidential and at no cost to the victim. The Sexual Assault Center of East Tennessee is fully grant funded.

AUDITED FACILITY INFORMATION

14. Designated facility capacity:	120
15. Average daily population for the past 12 months:	112
16. Number of inmate/resident/detainee housing units:	4

17. Does the facility ever hold youthful inmates or youthful/juvenile detainees?	○ Yes ◉ No ○ Not Applicable for the facility type audited (i.e., Community Confinement Facility or Juvenile Facility)

Audited Facility Population Characteristics on Day One of the Onsite Portion of the Audit

Inmates/Residents/Detainees Population Characteristics on Day One of the Onsite Portion of the Audit

23. Enter the total number of inmates/ residents/detainees in the facility as of the first day of onsite portion of the audit:	110
25. Enter the total number of inmates/ residents/detainees with a physical disability in the facility as of the first day of the onsite portion of the audit:	0
26. Enter the total number of inmates/ residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) in the facility as of the first day of the onsite portion of the audit:	0
27. Enter the total number of inmates/ residents/detainees who are Blind or have low vision (visually impaired) in the facility as of the first day of the onsite portion of the audit:	0
28. Enter the total number of inmates/ residents/detainees who are Deaf or hard-of-hearing in the facility as of the first day of the onsite portion of the audit:	3

29. Enter the total number of inmates/ residents/detainees who are Limited English Proficient (LEP) in the facility as of the first day of the onsite portion of the audit:	0
30. Enter the total number of inmates/ residents/detainees who identify as lesbian, gay, or bisexual in the facility as of the first day of the onsite portion of the audit:	3
31. Enter the total number of inmates/ residents/detainees who identify as transgender or intersex in the facility as of the first day of the onsite portion of the audit:	0
32. Enter the total number of inmates/ residents/detainees who reported sexual abuse in the facility as of the first day of the onsite portion of the audit:	0
33. Enter the total number of inmates/ residents/detainees who disclosed prior sexual victimization during risk screening in the facility as of the first day of the onsite portion of the audit:	5
34. Enter the total number of inmates/ residents/detainees who were ever placed in segregated housing/isolation for risk of sexual victimization in the facility as of the first day of the onsite portion of the audit:	0
35. Provide any additional comments regarding the population characteristics of inmates/residents/detainees in the facility as of the first day of the onsite portion of the audit (e.g., groups not tracked, issues with identifying certain populations):	Information regarding population characteristics on the first day of the audit visit was provided by the PREA Coordinator.

Staff, Volunteers, and Contractors Population Characteristics on Day One of the Onsite Portion of the Audit	
36. Enter the total number of STAFF, including both full- and part-time staff, employed by the facility as of the first day of the onsite portion of the audit:	13
37. Enter the total number of VOLUNTEERS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:	6
38. Enter the total number of CONTRACTORS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:	3
39. Provide any additional comments regarding the population characteristics of staff, volunteers, and contractors who were in the facility as of the first day of the onsite portion of the audit:	On the first day of the onsite audit visit the facility employed 13 full-time employees, with one officer vacancy. Contractors include one physician, one psychiatrist and one clinical supervisor. Volunteers include two religious volunteers and four volunteers who facilitate inmate programs.

INTERVIEWS

Inmate/Resident/Detainee Interviews

Random Inmate/Resident/Detainee Interviews

40. Enter the total number of RANDOM INMATES/RESIDENTS/DETAINEES who were interviewed:	10

41. Select which characteristics you considered when you selected RANDOM INMATE/RESIDENT/DETAINEE interviewees: (select all that apply)	☐ Age ☐ Race ☐ Ethnicity (e.g., Hispanic, Non-Hispanic) ☐ Length of time in the facility ☑ Housing assignment ☐ Gender ☐ Other ☐ None
42. How did you ensure your sample of RANDOM INMATE/RESIDENT/DETAINEE interviewees was geographically diverse?	Five inmates were interviewed from each of the four housing units. Selection included random and specialized inmates according to their housing assignment.
43. Were you able to conduct the minimum number of random inmate/ resident/detainee interviews?	◉ Yes ○ No
44. Provide any additional comments regarding selecting or interviewing random inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):	No text provided.
Targeted Inmate/Resident/Detainee Interviews	
45. Enter the total number of TARGETED INMATES/RESIDENTS/DETAINEES who were interviewed:	10

As stated in the PREA Auditor Handbook, the breakdown of targeted interviews is intended to guide auditors in interviewing the appropriate cross-section of inmates/residents/detainees who are the most vulnerable to sexual abuse and sexual harassment. When completing questions regarding targeted inmate/resident/detainee interviews below, remember that an interview with one inmate/resident/detainee may satisfy multiple targeted interview requirements. These questions are asking about the number of interviews conducted using the targeted inmate/resident/detainee protocols. For example, if an auditor interviews an inmate who has a physical disability, is being held in segregated housing due to risk of sexual victimization, and disclosed prior sexual victimization, that interview would be included in the totals for each of those questions. Therefore, in most cases, the sum of all the following responses to the targeted inmate/resident/detainee interview categories will exceed the total number of targeted inmates/residents/detainees who were interviewed. If a particular targeted population is not applicable in the audited facility, enter "0".

47. Enter the total number of interviews conducted with inmates/residents/detainees with a physical disability using the "Disabled and Limited English Proficient Inmates" protocol:	0
a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:	☒ Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. ☐ The inmates/residents/detainees in this targeted category declined to be interviewed.
b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).	From information provided by the PREA Coordinator, there were no inmates with physical disabilities assigned to the facility.
48. Enter the total number of interviews conducted with inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) using the "Disabled and Limited English Proficient Inmates" protocol:	0

a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/ detainees in this category:	■ Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. ☐ The inmates/residents/detainees in this targeted category declined to be interviewed.
b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/ residents/detainees).	From information provided by the PREA Coordinator, there were no inmates with cognitive disabilities assigned to the facility.
49. Enter the total number of interviews conducted with inmates/residents/ detainees who are Blind or have low vision (i.e., visually impaired) using the "Disabled and Limited English Proficient Inmates" protocol:	0
a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/ detainees in this category:	■ Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. ☐ The inmates/residents/detainees in this targeted category declined to be interviewed.
b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/ residents/detainees).	From information provided by the PREA Coordinator, there were no inmates who were blind or with low vision assigned to the facility.
50. Enter the total number of interviews conducted with inmates/residents/ detainees who are Deaf or hard-of-hearing using the "Disabled and Limited English Proficient Inmates" protocol:	3

51. Enter the total number of interviews conducted with inmates/residents/ detainees who are Limited English Proficient (LEP) using the "Disabled and Limited English Proficient Inmates" protocol:	0
a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/ detainees in this category:	■ Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. ☐ The inmates/residents/detainees in this targeted category declined to be interviewed.
b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/ residents/detainees).	From information provided by the PREA Coordinator, there were no inmates who were limited English proficient assigned to the facility.
52. Enter the total number of interviews conducted with inmates/residents/ detainees who identify as lesbian, gay, or bisexual using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:	2
53. Enter the total number of interviews conducted with inmates/residents/ detainees who identify as transgender or intersex using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:	0
a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/ detainees in this category:	■ Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. ☐ The inmates/residents/detainees in this targeted category declined to be interviewed.

b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/ residents/detainees).	From information provided by the PREA Coordinator, there were no inmates who self-disclosed being transgender or intersex assigned to the facility.
54. Enter the total number of interviews conducted with inmates/residents/ detainees who reported sexual abuse in this facility using the "Inmates who Reported a Sexual Abuse" protocol:	0
a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/ detainees in this category:	■ Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. ☐ The inmates/residents/detainees in this targeted category declined to be interviewed.
b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/ residents/detainees).	From information provided by the PREA Coordinator, there were no inmates who reported sexual abuse at the KCWRC.
55. Enter the total number of interviews conducted with inmates/residents/ detainees who disclosed prior sexual victimization during risk screening using the "Inmates who Disclosed Sexual Victimization during Risk Screening" protocol:	4

56. Enter the total number of interviews conducted with inmates/residents/ detainees who are or were ever placed in segregated housing/isolation for risk of sexual victimization using the "Inmates Placed in Segregated Housing (for Risk of Sexual Victimization/Who Allege to have Suffered Sexual Abuse)" protocol:	0
a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/ detainees in this category:	■ Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees. ☐ The inmates/residents/detainees in this targeted category declined to be interviewed.
b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/ residents/detainees).	The KCWRC does not have a segregated housing/isolation unit. If an inmate was determined to be at risk for sexual victimization and required to be placed in segregated housing, the inmate would be transferred to the RDWDF.
57. Provide any additional comments regarding selecting or interviewing targeted inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews):	There was one inmate who reported prior sexual victimization at intake selected to be interviewed who was released on the first day of the audit visit. There were three inmates identified as having a hearing loss interviewed. One inmate stated he did not have a hearing loss. The PREA Coordinator reviewed the inmate's initial screening information and found the inmate was given a hearing loss alert in IMS in error.
Staff, Volunteer, and Contractor Interviews	
Random Staff Interviews	
58. Enter the total number of RANDOM STAFF who were interviewed:	6

59. Select which characteristics you considered when you selected RANDOM STAFF interviewees: (select all that apply)	☐ Length of tenure in the facility ☑ Shift assignment ☑ Work assignment ☑ Rank (or equivalent) ☐ Other (e.g., gender, race, ethnicity, languages spoken) ☐ None
60. Were you able to conduct the minimum number of RANDOM STAFF interviews?	◉ Yes ○ No
61. Provide any additional comments regarding selecting or interviewing random staff (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):	All random staff on each of the three security shifts scheduled to work on the audit days were interviewed.
Specialized Staff, Volunteers, and Contractor Interviews	
Staff in some facilities may be responsible for more than one of the specialized staff duties. Therefore, more than one interview protocol may apply to an interview with a single staff member and that information would satisfy multiple specialized staff interview requirements.	
62. Enter the total number of staff in a SPECIALIZED STAFF role who were interviewed (excluding volunteers and contractors):	12
63. Were you able to interview the Agency Head?	◉ Yes ○ No
64. Were you able to interview the Warden/Facility Director/Superintendent or their designee?	◉ Yes ○ No

65. Were you able to interview the PREA Coordinator?	(●) Yes ( ) No
66. Were you able to interview the PREA Compliance Manager?	(●) Yes ( ) No ( ) NA (NA if the agency is a single facility agency or is otherwise not required to have a PREA Compliance Manager per the Standards)

67. Select which SPECIALIZED STAFF roles were interviewed as part of this audit from the list below: (select all that apply)	☐ Agency contract administrator ☒ Intermediate or higher-level facility staff responsible for conducting and documenting unannounced rounds to identify and deter staff sexual abuse and sexual harassment ☐ Line staff who supervise youthful inmates (if applicable) ☐ Education and program staff who work with youthful inmates (if applicable) ☒ Medical staff ☒ Mental health staff ☐ Non-medical staff involved in cross-gender strip or visual searches ☒ Administrative (human resources) staff ☐ Sexual Assault Forensic Examiner (SAFE) or Sexual Assault Nurse Examiner (SANE) staff ☒ Investigative staff responsible for conducting administrative investigations ☐ Investigative staff responsible for conducting criminal investigations ☒ Staff who perform screening for risk of victimization and abusiveness ☐ Staff who supervise inmates in segregated housing/residents in isolation ☒ Staff on the sexual abuse incident review team ☒ Designated staff member charged with monitoring retaliation ☐ First responders, both security and non-security staff ☐ Intake staff

	☐ Other
68. Did you interview VOLUNTEERS who may have contact with inmates/ residents/detainees in this facility?	◉ Yes ○ No
a. Enter the total number of VOLUNTEERS who were interviewed:	4
b. Select which specialized VOLUNTEER role(s) were interviewed as part of this audit from the list below: (select all that apply)	■ Education/programming ☐ Medical/dental ☐ Mental health/counseling ■ Religious ☐ Other
69. Did you interview CONTRACTORS who may have contact with inmates/ residents/detainees in this facility?	◉ Yes ○ No
a. Enter the total number of CONTRACTORS who were interviewed:	1
b. Select which specialized CONTRACTOR role(s) were interviewed as part of this audit from the list below: (select all that apply)	☐ Security/detention ☐ Education/programming ■ Medical/dental ☐ Food service ☐ Maintenance/construction ☐ Other

70. Provide any additional comments regarding selecting or interviewing specialized staff.	The Human Resource Director was not going to be available during the onsite audit visit and was interviewed by telephone during the Pre Onsite Audit Phase. The contractor and two volunteers were also interviewed by telephone during the Pre Onsite Audit Phase.

SITE REVIEW AND DOCUMENTATION SAMPLING

Site Review

PREA Standard 115.401 (h) states, "The auditor shall have access to, and shall observe, all areas of the audited facilities." In order to meet the requirements in this Standard, the site review portion of the onsite audit must include a thorough examination of the entire facility. The site review is not a casual tour of the facility. It is an active, inquiring process that includes talking with staff and inmates to determine whether, and the extent to which, the audited facility's practices demonstrate compliance with the Standards. Note: As you are conducting the site review, you must document your tests of critical functions, important information gathered through observations, and any issues identified with facility practices. The information you collect through the site review is a crucial part of the evidence you will analyze as part of your compliance determinations and will be needed to complete your audit report, including the Post-Audit Reporting Information.

71. Did you have access to all areas of the facility?	(●) Yes ( ) No
Was the site review an active, inquiring process that included the following:	
72. Observations of all facility practices in accordance with the site review component of the audit instrument (e.g., signage, supervision practices, cross-gender viewing and searches)?	(●) Yes ( ) No
73. Tests of all critical functions in the facility in accordance with the site review component of the audit instrument (e.g., risk screening process, access to outside emotional support services, interpretation services)?	(●) Yes ( ) No
74. Informal conversations with inmates/ residents/detainees during the site review (encouraged, not required)?	(●) Yes ( ) No

75. Informal conversations with staff during the site review (encouraged, not required)?	(●) Yes ( ) No

76. Provide any additional comments regarding the site review (e.g., access to areas in the facility, observations, tests of critical functions, or informal conversations).	The Knox County Work Release Center (KCWRC) is an all-male minimum and medium security facility. The facility has four dormitory-style housing units, each with thirty beds for a total of 120 beds. The KCWRC houses inmates in work release and reentry programming as well as general population inmates. Newly assigned inmates are processed in the intake unit, and risk assessments are completed in the Classification unit of the Roger D. Wilson Detention Facility (RDWDF) located .25 miles from the facility. The KCWRC does not have medical or mental health services onsite. These services are provided at the RDWDF. During the site review the intake, medical/ mental health, and classification departments were visited. The Purple Communication device was tested in the intake unit and found to be accessible for staff use with inmates who are deaf or hard of hearing. The Language Line Solutions number was tested in the Classification department and found to be accessible for use with limited English proficient inmates. During the site review, the speed dial numbers for inmates to call the internal reporting line (*888), the external number (*999) and the number to the National Sexual Assault Hotline (*555) were dialed on a resident pay phone. The speed dial numbers *888 and *999 were found to be accessible. The number *555 to RAINN was answered, but the call could not be connected to a local agency after answered. The number was dialed on the inmate telephones in each of the housing units, with the same issue found. The PREA Coordinator contacted the KCSO IT staff member and the contact person for Correct Pay, the telephone vendor. The telephone vendor's recommendation was to link the *555 number directly to the Sexual Assault Center of East Tennessee, bypassing RAINN. The *555 number was tested by one of the Corporals and found to connect directly to the Sexual Assault Center of East Tennessee, as recommended by the

	telephone vendor. In conversation with the PREA Coordinator it was recommended that in the future the RAINN number be eliminated as an option for inmates. Nine inmates were informally interviewed during the site review and questioned about the PREA education they received and methods of reporting allegations of sexual abuse and sexual harassment available to them. Inmates are assigned tablets with PREA information and there is a kiosk in each housing unit. There is a restroom within each housing unit surrounded by a partial block wall. Each restroom has four toilet stalls and a shower area with three shower heads and a shower curtain surrounding the shower area. Two inmate telephones are located in each housing unit with large posters containing reporting options information. Facility notices in English and Spanish posted inside of the doors of the housing unit noted the date posted as 5/14/26. Entry doors to the housing units remind female staff to make opposite gender announcements before entering. This practice was confirmed during the site review and in interview of inmates who reported opposite gender announcements were always made before a female staff enters the housing unit. The facility has a fenced recreation area with pull-up bars and a corn hole game board. There are six cameras in the recreation area to provide visibility to the area. The facility has a total of 32 cameras; 16 interior and 16 exterior cameras. Each housing unit has two cameras and there are three cameras in the hallway where the housing units are located. Additional cameras are in the multipurpose room, the main hallway and the rear corridor. A staff member is always assigned to Central Control to monitor camera footage. The server is located in the utility room, and data is retained for up to 45 days. In review of camera monitors, all cameras were in working order and cameras in housing units were found to provide privacy to the restroom

	areas.

Documentation Sampling

Where there is a collection of records to review-such as staff, contractor, and volunteer training records; background check records; supervisory rounds logs; risk screening and intake processing records; inmate education records; medical files; and investigative files-auditors must self-select for review a representative sample of each type of record.

77. In addition to the proof documentation selected by the agency or facility and provided to you, did you also conduct an auditor-selected sampling of documentation?	(●) Yes ( ) No
78. Provide any additional comments regarding selecting additional documentation (e.g., any documentation you oversampled, barriers to selecting additional documentation, etc.).	There were nine employee human resource files, three volunteer and five contractor files selected and reviewed remotely during the Pre Onsite Audit Phase to determine compliance with criminal background checks and the requirement of PREA training. All files were found to be complete with all required documentation. Fifteen inmate records were selected and reviewed to determine compliance with initial and 30-day screening requirements and compliance with PREA education requirements. Files showed initial screenings and 30-day rescreenings were conducted timely. Four inmates selected screened to be at risk for victimization were offered a referral to mental health as required.

SEXUAL ABUSE AND SEXUAL HARASSMENT ALLEGATIONS AND INVESTIGATIONS IN THIS FACILITY

Sexual Abuse and Sexual Harassment Allegations and Investigations Overview

Remember the number of allegations should be based on a review of all sources of allegations (e.g., hotline, third-party, grievances) and should not be based solely on the number of investigations conducted. Note: For question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, or detainee sexual abuse allegations and investigations, as applicable to the facility type being audited.

79. Total number of SEXUAL ABUSE allegations and investigations overview during the 12 months preceding the audit, by incident type:

	# of sexual abuse allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
Inmate-on-inmate sexual abuse	0	0	0	0
Staff-on-inmate sexual abuse	0	0	0	0
Total	0	0	0	0

80. Total number of SEXUAL HARASSMENT allegations and investigations overview during the 12 months preceding the audit, by incident type:

	# of sexual harassment allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
Inmate-on-inmate sexual harassment	0	0	0	0
Staff-on-inmate sexual harassment	0	0	0	0
Total	0	0	0	0

Sexual Abuse and Sexual Harassment Investigation Outcomes

Sexual Abuse Investigation Outcomes

Note: these counts should reflect where the investigation is currently (i.e., if a criminal investigation was referred for prosecution and resulted in a conviction, that investigation outcome should only appear in the count for “convicted.”) Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual abuse investigation files, as applicable to the facility type being audited.

81. Criminal SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual abuse	0	0	0	0	0
Staff-on-inmate sexual abuse	0	0	0	0	0
Total	0	0	0	0	0

82. Administrative SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual abuse	0	0	0	0
Staff-on-inmate sexual abuse	0	0	0	0
Total	0	0	0	0

Sexual Harassment Investigation Outcomes

Note: these counts should reflect where the investigation is currently. Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual harassment investigation files, as applicable to the facility type being audited.

83. Criminal SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual harassment	0	0	0	0	0
Staff-on-inmate sexual harassment	0	0	0	0	0
Total	0	0	0	0	0

84. Administrative SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual harassment	0	0	0	0
Staff-on-inmate sexual harassment	0	0	0	0
Total	0	0	0	0

Sexual Abuse and Sexual Harassment Investigation Files Selected for Review

Sexual Abuse Investigation Files Selected for Review

85. Enter the total number of SEXUAL ABUSE investigation files reviewed/ sampled:	0
a. Explain why you were unable to review any sexual abuse investigation files:	In the past 12 months and in this reaccreditation period, there were no allegations of sexual abuse reported.

86. Did your selection of SEXUAL ABUSE investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?	○ Yes ○ No ◉ NA (NA if you were unable to review any sexual abuse investigation files)
Inmate-on-inmate sexual abuse investigation files	
87. Enter the total number of INMATE-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:	0
88. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?	○ Yes ○ No ◉ NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)
89. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?	○ Yes ○ No ◉ NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)
Staff-on-inmate sexual abuse investigation files	
90. Enter the total number of STAFF-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:	0
91. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?	○ Yes ○ No ◉ NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)

92. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?	○ Yes ○ No ◉ NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)
Sexual Harassment Investigation Files Selected for Review	
93. Enter the total number of SEXUAL HARASSMENT investigation files reviewed/sampled:	0
a. Explain why you were unable to review any sexual harassment investigation files:	In the past 12 months and in this reaccreditation period, there were no allegations of sexual harassment reported.
94. Did your selection of SEXUAL HARASSMENT investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?	○ Yes ○ No ◉ NA (NA if you were unable to review any sexual harassment investigation files)
Inmate-on-inmate sexual harassment investigation files	
95. Enter the total number of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:	0
96. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT files include criminal investigations?	○ Yes ○ No ◉ NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)

97. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?	○ Yes ○ No ◉ NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)
Staff-on-inmate sexual harassment investigation files	
98. Enter the total number of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:	0
99. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include criminal investigations?	○ Yes ○ No ◉ NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)
100. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?	○ Yes ○ No ◉ NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)
101. Provide any additional comments regarding selecting and reviewing sexual abuse and sexual harassment investigation files.	No text provided.

SUPPORT STAFF INFORMATION

DOJ-certified PREA Auditors Support Staff

102. Did you receive assistance from any DOJ-CERTIFIED PREA AUDITORS at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.	○ Yes ◉ No

Non-certified Support Staff

103. Did you receive assistance from any NON-CERTIFIED SUPPORT STAFF at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.	○ Yes ◉ No

AUDITING ARRANGEMENTS AND COMPENSATION

108. Who paid you to conduct this audit?	◉ The audited facility or its parent agency ○ My state/territory or county government employer (if you audit as part of a consortium or circular auditing arrangement, select this option) ○ A third-party auditing entity (e.g., accreditation body, consulting firm) ○ Other

Standards
Auditor Overall Determination Definitions
• Exceeds Standard (Substantially exceeds requirement of standard) • Meets Standard (substantial compliance; complies in all material ways with the stand for the relevant review period) • Does Not Meet Standard (requires corrective actions)
Auditor Discussion Instructions
Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

115.11	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator
	Auditor Overall Determination: Exceeds Standard
	Auditor Discussion
	115.11 (a) The Knox County Sheriff's Office (KCSO) Corrections Division policy Chapter 18, *Sexual Abuse Prevention Planning*, is the agency's written plan mandating zero tolerance towards all forms of sexual abuse and sexual harassment and outlines the agency's approach to preventing, detecting and responding to such conduct. Sexual activity between inmates or employees, contractors and volunteers are strictly prohibited and subject to administrative and criminal disciplinary sanctions. Agency policy Chapter 18 includes Subsections 18-01, *Sexual Abuse Prevention Planning*, 18.2, *Reporting Procedures*, 18.3, *Official Response and Inmate Report,* 18.4, *PREA Investigation*, 18.5, *Disciplinary Violations PREA*,18.6, *Data Collection and Auditing Procedures* and 18.7, *Transgender and Intersex Inmates*. Pages 1-3 of policy 18.01 includes definitions of prohibited behaviors. The Sexual Abuse Prevention Planning policies were found to be comprehensive and clearly outline the procedures to be followed to reduce and prevent sexual abuse and sexual harassment of inmates. The policy addresses each standard and procedures as guidance to staff ensuring compliance to the PREA standards. Other KCSO policies reviewed and referenced in this report include Chapter 3, *Medical and Mental Health*

	Care Services, Subsection 3.09, *Medical and Mental Health Care for PREA Victims*, Chapter 6, *Security and Control,* Subsection 6.07, *Searches, Contraband, and Preservation of Evidence,* Chapter 11, *Inmate Rules and Disciplinary Policies,* Subsection 11.02, *Disciplinary Infractions and Sentencing Guidelines* and Chapter 14, *Classification and Inmate Workers,* Subsection 14.1, *Initial Classification.* 115.11 (b): The agency employs a PREA Coordinator, who when interviewed during this reaccreditation period reported she has sufficient time and authority to develop, implement and oversee the agency's efforts to comply with the PREA standards. Post Order #082 outlines the responsibilities of the PREA Coordinator. The Compliance and Accreditation Director was designated by the Chief of Corrections as the agency's PREA Coordinator. The agency's organizational chart depicts the PREA Coordinator's position within the agency. The PREA Coordinator answers to the Assistant Chief of Corrections. The PREA Coordinator oversees the agency's efforts to comply with the PREA standards for the Roger D. Wilson Detention Facility, the Knox County Jail and the Knox County Work Release Center. In interview the PREA Coordinator she stated she has sufficient time to manage her PREA-related responsibilities. The PREA Coordinator's position focuses completely on compliance and accreditation. 115.11 (c): Each facility has a designated PREA Compliance Manager. The responsibilities of the PREA Compliance Managers are found in Post Order #081. A Corporal is designated as the PREA Compliance Manager for this facility. The facility's organizational chart depicts the position of the PREA Compliance Manager. The PREA Compliance Manager answers to the PREA Coordinator. When interviewed, the PREA Compliance Manager stated he has sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards. In interview of the PREA Coordinator she stated each of the three facilities has a designated PREA Compliance Manager. She meets with the PREA Compliance Managers in Quarterly Commander Meetings and often communicates with them through telephone communication and e-mails. The agency's *Sexual Abuse Prevention Planning* policies and all related policies were found to be very comprehensive, and both the PREA Coordinator and the PREA Compliance Manager were very knowledgeable of the responsibilities of their roles when interviewed; therefore, the facility was found to exceed in the requirements of this standard.

115.12	**Contracting with other entities for the confinement of inmates**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.12: Based on documentation provided for review, the Knox County Sheriff's Corrections Division does not contract with other agencies for the confinement of its inmates.

115.13	Supervision and monitoring
	Auditor Overall Determination: Exceeds Standard
	Auditor Discussion
	115.13 (a) Based on agency policy Chapter 18, Subsection 18.01 pages 3 & 4, section III-B, the agency has developed and documented a staffing plan that provides for adequate levels of staffing and uses video monitoring to protect inmates against sexual abuse. The agency took into consideration the physical layout of the facility, the composition of the recent population, the prevalence of substantiated and unsubstantiated incidents of sexual abuse, the number and placement of supervisory staff, programs occurring on a particular shift and the resources the facility has available to commit to ensure adequate staffing levels. The agency also considers generally accepted detention and correctional practices and any finding of inadequacy from federal investigative agencies, internal or external oversight bodies, any applicable state or local laws or regulations and any other relevant factors. On information reported on the Pre-Audit Questionnaire, the Knox County Work Release Center (KCWRC) has a rated capacity of 120 inmates. Since the last PREA audit the average daily population of the KCWRC was 110 inmates and in the past 12 months the average daily population was 112 inmates. On the first day of the audit the population was 110. In interview with the Facility Commander and the PREA Compliance Manager, they confirmed what is considered when assessing staffing levels. 115.13 (b): The facility makes every effort to comply with the approved PREA staffing plan and documents and justifies any deviations. The appropriate Facility Commander ensures compliance to the established staffing plan. A Shift Commander Pass On report is completed at the end of each shift which includes staffing information. This form is e-mailed to the PREA Compliance Manager and the Facility Commander of each facility at the end of each shift. In circumstances where the staffing plan is not complied with, the Facility Commander completes the Corrections Division Staffing Deviation Form and justifies all deviations from the plan and forwards it to the PREA Coordinator. Further notification is made to the Chief of Corrections and the Assistant Chief of Corrections. In information provided in the Pre-Audit Questionnaire and in interview of the Facility Commander, in the past 12 months there no deviations to the established staffing plan. On information provided by the PREA Coordinator, the minimum staffing requirement for the KCWRC is two officers per shift and this level is maintained at all times to ensure adequate supervision and adherence to PREA standards. One Corporal is normally assigned to each shift to provide supervisory oversight and one of these Corporals also serves as the facility's PREA Compliance Manager. The Facility Commander is onsite Monday-Friday from 0700 to 1500 hours, providing supervisory coverage and conducts daily unannounced PREA rounds 2-3 times per week. When supervisory staff are unavailable due to scheduled days off,

	vacation, or sick leave, the facility maintains a minimum of two officers. During these periods, any situation requiring supervisory-level action can be supported by the Roger D. Wilson Detention Facility (RDWDF) located across the street from the KCWRC. 115.13 (c): The staffing plan is reviewed annually by the Chief of Corrections, in consultation with the PREA Coordinator and the PREA Compliance Manager, to assess the staffing plan and document any changes needed. This annual review also includes assessments of the policy, physical plant, and video monitoring systems. The annual assessment is documented on the *Annual Staffing Review Form* (Form #0148). The forms for the staffing analysis since the last PREA audit were provided for review. The last review was conducted on 3/4/26. As a result of that review, there were no recommendations for policy and/or procedure changes, no modifications to the physical plant and no changes to the video monitoring system. A recommendation was made to increase the minimum staffing level from two officers per shift to three officers per shift, particularly on the first and second shifts due to inmate movement and activities on those shifts. In interview with the PREA Coordinator, she reported that recommendation was no approved. She stated the staffing plans for all three KCSO facilities are assessed at a minimum of at least once a year. The *Annual Staffing Review Form* was found to be very comprehensive and not only answered the questions but also provided a narrative in response to the questions. 115.13 (d): According to page 4, section III-B-4 of the Subsection 18.01 policy, the facility ensures that on-duty intermediate-level or higher-level supervisors on all three shifts conduct and document unannounced PREA rounds to identify and deter staff sexual abuse and sexual harassment. Staff members are prohibited from alerting other staff members that supervisory rounds are occurring. Unannounced PREA rounds are documented in the *Unannounced Supervisor PREA Round/Inspection* form in the Inmate Management System (IMS). The appropriate Facility Commander monitors management reports for compliance. Lieutenants and Sergeants on all three security shifts are required to make unannounced PREA rounds. During the onsite audit visit *Unannounced Supervisor PREA Round/Inspection* documented in the Inmate Management System (IMS) for the first day of the onsite audit visit and requested *Unannounced Supervisor PREA Round/Inspections* for 10/5/25, 4/21/26 and 6/24/26, unannounced PREA rounds were conducted as required on all shifts in each case. Interviews of supervisory staff responsible for conducting PREA unannounced rounds, confirmed unannounced rounds are being conducted on all security shifts. Inmates interviewed confirmed supervisors are present in their housing units often. The facility was found to exceed in the requirements of this standard. The agency is continuously evaluating staffing needs and are conducting numerous PREA unannounced rounds daily.

115.14	**Youthful inmates**
	Auditor Overall Determination: Meets Standard

	Auditor Discussion
	115.14 (a): According to KCSO policy 14-01, page 11, Sub-Section L-2, a youthful inmate shall not be placed in a housing unit in which the youthful inmate will have sight, sound or physical contact with any adult inmate through the use of a shared day room or other common space, shower area or sleeping quarters. Anytime a juvenile is classified, the Classification Officer will forward a copy of the initial classification to the Facility Commander and Program's Manager. According to information on the Pre-Audit Questionnaire, the KCWRC does not house youthful inmates. 115.14 (b): KCSO policy 14-01, page 11, Sub-Section L-3, states that outside of the housing unit the facility will ensure sight and sound separation between youthful inmates and adult inmates and provide direct staff supervision when adult inmates have sight, sound or physical contact. According to information on the Pre-Audit Questionnaire, the KCWRC does not house youthful inmates. 115.14 (c): The agency makes its best efforts to avoid placing youthful inmates in isolation to comply with this provision. Absent exigent circumstances, youthful inmates are not denied large muscle exercise and legally required special education services to comply with this provision. Youthful inmates will have access to programs and work opportunities to the extent possible. According to information on the Pre-Audit Questionnaire, the KCWRC does not house youthful inmates.

115.15	**Limits to cross-gender viewing and searches**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.15 (a): Review of KCSO policy 6.07, page 11, section E, review of documentation provided prior to the audit and during the onsite audit visit were used to verify compliance to this standard. The KCSO does not conduct cross-gender inmate strip searches and cross-gender visual body searches except in exigent circumstances or when perform by a medical practitioner. In information provided on the Pre-Audit Questionnaire, in the past 12 months, there were no cross-gender strip or cross-gender visual body cavity searches of inmates at the KCWRC. The PREA Coordinator will document any violations of this policy in a memorandum to the Chief of Corrections. Staff interviewed knew the agency policy requirements. 115.15 (b): The KCSO does not permit cross-gender pat down searches of female inmates, absent exigent circumstances. The agency does not restrict female inmates' access to regularly available programming or other outside opportunities to comply with this provision. The KCWRC does not house female inmates. 115.15 (c): Cross-gender strip searches and cross-gender visual body searches are documented on the *Knox County Sheriff's Office Corrections Division Strip Search*

Form. The KCWRC does not house female inmates.

115.15 (d): The agency has policies and procedures in place that enable inmates to shower, perform bodily functions and change clothing without staff of the opposite gender viewing their breasts, buttocks or genitalia. Opposite gender staff are required to announce their presence when entering inmate housing units. Signs on entry doors of all housing pods remind opposite staff to make opposite gender announcements when entering the pods. The practice of opposite gender staff announcing their presence when they enter the housing units was observed during the site review of the facility and inmates and staff interviewed confirmed this practice. In review of camera monitors, restrooms and shower areas afford privacy to inmates. Restrooms are behind a partial concrete wall. Toilet stalls have solid doors, and the shower area is covered with a shower curtain. Inmates interviewed reported they feel they have privacy if an opposite gender staff is in their housing unit.

115.15 (e): According to KCSO policy 6.07, page 11, section E-5, staff are prohibited from searching or physically examining transgender and intersex inmates for the sole purpose of determining the inmate's genital status. If the inmate's genital status is unknown, it may be determined during conversations with the inmate, by reviewing the medical records, or, if necessary, by a medical examination conducted in private by a medical practitioner. If there is reason to believe a strip search of a transgender or intersex inmate be performed, the strip search will be conducted by a facility nurse with a female Officer present. In interview of random staff, they knew the agency's policy prohibits physically examining transgender and intersex inmates for the purpose of determining their genital status. Due to guidance provided by the DOJ on 12/2/25, this provision of this standard was identified as being non-applicable. Since the provision remains in the PREA law, this provision was audited and it was determined the facility was compliant with this provision.

115.15 (f): The agency trains correctional staff on how to conduct cross-gender pat-down searches and searches of transgender and intersex inmates in a professional and respectful manner and in the least intrusive manner possible consistent with security needs. According to agency policy 18.7, *Transgender and Intersex Inmates*, page 6, section E-4, pat searches of transgender and intersex inmates will be conducted by a staff member of the sex as indicated on the *Transgender Inmate Statement of Preference* (Form 1165). Employees receive training on how to conduct searches of transgender and intersex inmates in the *Pat Down, Body Searches, Searches of Offenders* course in pre-service training and in annual in-service training. Employees sign an *Employee PREA Training Acknowledgement* form upon completion of this training acknowledging receipt and understanding of the training they received. Completion of the training is also maintained electronically. Random staff interviewed were aware of the agency policy on transgender and intersex inmates' pat searches. At the time of the onsite audit visit, there were no transgender or intersex inmates assigned to the KCWRC. In interview of the PREA Coordinator, she stated that transgender and intersex inmates would not be assigned to the KCWRC. Due to guidance provided by the DOJ on 12/2/25, this provision of this standard was identified as being non-applicable. Since the provision remains in the PREA law, this provision was audited and it was determined the facility was compliant with this

	provision.

115.16	Inmates with disabilities and inmates who are limited English proficient
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.16 (a): Based on review of KCSO policy 13.1, *Inmate Services and Program Guidelines*, pages 12 & 13, section F, the agency takes appropriate steps to ensure that inmates with disabilities and inmates that are limited English proficient, as well as those who are deaf, hard of hearing, blind, have low vision, or those who have intellectual, psychiatric or speech disabilities, have an equal opportunity to participate and benefit from all aspects of the agency's efforts to prevent, detect and respond to sexual abuse and sexual harassment ensuring their understanding of the education they receive. A contract with Purple Communications, Inc. provides video relay service for the deaf and hard of hearing inmates. A designated Programs staff member ensures that written materials are provided in formats or methods that ensure effective communication with inmates with disabilities, including inmates with intellectual disabilities, limited reading skills, or who are blind or have low vision. In interview with the Chief of Corrections (agency head designee), he stated that the agency is always looking for new technology to improve in this area. At the time of the onsite audit visit, there were three inmates identified as having a hearing loss. One inmate stated he did not have a hearing loss. The PREA Coordinator checked his risk screenings and found that the inmate was correct and removed the hearing loss alert from his record. The other two inmates interviewed had questions asked in a higher volume, but answered questions asked of them appropriately. At the time of the onsite audit visit there were no inmates who were identified as being deaf. Purple Communications was demonstrated and found to be accessible. 115.16 (b): The agency takes steps to ensure inmates who are limited English proficient have access to all PREA information in a format they can understand. According to agency policy 13.1, pages 12 & 13, section F-5-8, a staff member fluent in the inmate's language may translate for inmates with limited English proficiency. If a staff member is unavailable, the Facility Commander or designee will utilize Language Line Solutions by calling 866-874-3972 and providing the client ID number (918251) for translation services. At the time of the onsite audit visit, there were no inmates assigned to the facility that were identified as being limited English proficient. The Language Line was tested in the Classification office and found to be accessible. The Inmate Handbook and the PREA brochure inmates receive on their day of arrival are provided in English and Spanish. All posted PREA information and PREA information on the kiosks and tablets are in both English and Spanish and the PREA video is available in both languages. 115.16 (c): The agency prohibits use of inmate interpreters, inmate readers, or other types of inmate assistants except in limited circumstances. Staff translators or

	Language Line Services are utilized when necessary. From information provided on the Pre-Audit Questionnaire and information provided on the *PREA Investigators Outcome Measures and Statistics*, in the past 12 months, there have been no instances where inmates were used for this purpose. Random staff interviewed knew that the agency prohibits using inmates for this purpose.

115.17	**Hiring and promotion decisions**
	Auditor Overall Determination: Exceeds Standard
	Auditor Discussion
	115.17 (a): According to the *Knox County Sheriff's Office Employee Manual*, Chapter 1, pages 4 & 5, *Sub-Section B: PREA Guidelines For Hiring and Promotional Decisions*, the KCSO will not hire or promote anyone who may have contact with inmates and will not enlist the services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility or other institution. When applicants report prior institutional work experience, a *PREA Questionnaire for Prior Institutional Employers* form (Form #1801) is sent to the applicant's former employer. In review of nine employee files, the P*REA Questionnaire for Prior Institutional Employers form* (Form #1801) did not apply to these employees. In interview of the Human Resource Director, she stated the #1801 form is sent to former employers of applicants who report prior institutional employment. 115.17 (b): The KCSO considers any incidents of sexual harassment in determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with inmates. This practice was confirmed in interview with the Human Resource Director. 115.17 (c): The KCSO requires that before hiring new employees a criminal background check be conducted. Criminal background checks are conducted by the Merit System Council. If the applicant receives clearance, the applicant is added to an interview list to be considered for employment. After a candidate for a position is made an offer, a criminal background check by the KCSO is completed within 30 days of passing physical training test. In addition, KCSO subscribes to the Federal Bureau of Investigation's Next Generation Identification (NGI) Rap Service. Fingerprints of applicants, employees, contractors and volunteers are on file with NGI and KCSO receives timely notices of arrests and criminal activities of those on file. According to information provided on the Pre-Audit Questionnaire, in the past 12 months there were 100 employee criminal background checks performed (this number reflects the number of persons hired for the KCSO Corrections Division, not just for the KCWRC). KCSO does not hire specifically for one facility. Correctional staff who are assigned to KCWRC are not assigned straight out of COTA. In information provided by the PREA Coordinator, there was one new hire assigned to the KCWRC in the past 12 months.

	115.17 (d): The KCSO performs criminal background checks before enlisting the services of any unescorted contractor who may have contact with inmates. Criminal background checks on volunteers are conducted annually. Contractor and volunteer fingerprints are on file with the NGI. On information provided on the Pre-Audit Questionnaire, in the past 12 months, there were no criminal background checks conducted on contractors. 115.17 (e): Criminal background checks are conducted every four years, which corresponds with the Sheriff's election year, on all employees and unescorted contractors, exceeding the requirements of criminal background checks conducted every five years. Random review of employee and contractor records confirmed criminal background checks are being conducted every four years. 115.17 (f): All applicants, employees, employees considered for a promotion, unescorted contractors and volunteers are asked about previous misconduct by signing a *Self-Declaration of Sexual Abuse/Sexual Harassment* form (Form #0146) certifying they have not engaged in sexual abuse in an institutional setting or in the community, #0146 forms are signed annually during in-service training. Employees have a continuing affirmative duty to disclose any sexual misconduct. In review of nine employee, five contractor and three volunteer records, all records reviewed showed *Self-Declaration of Sexual Abuse/Sexual Harassment* forms (Form #0146) are being completed annually during in-service training. 115.17 (g): Material omissions regarding sexual misconduct or giving false information are grounds for termination. 115.17 (h): Unless prohibited by law, the agency shall provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer that the employee has applied for work. Employee, contractor and volunteer records were found to be complete and timely. Criminal background checks exceed the standard requirement of being conducted every five years. KCSO conducts criminal background checks every four years.

115.18	**Upgrades to facilities and technologies**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.18 (a): Based on policy 14-2, page 34, section V, when designing or acquiring any new facility and in planning any substantial expansion or modification of existing facilities, the KCSO will consider the effect of the design, acquisition, expansion or modification of existing facilities on the ability to protect inmates from sexual abuse. In interview with the Chief of Corrections, he stated there have not been any expansions or modifications to any of the facilities, but if this were to occur, the

	agency would evaluate for blind spots. According to information provided on the Pre-Audit Questionnaire and in interview with the Chief of Corrections, since the last PREA audit there were no expansions or modifications of the existing facilities, and the agency has not acquired any new facilities. He further stated the agency would consider that any changes made would comply with all of the PREA standards and take into account blind spots and shower and restroom placement when designing or modifying existing facilities. 115.18 (b): When installing or updating a video monitoring system, electronic surveillance system or other monitoring technology, the KCSO will consider how such technology may enhance the ability to protect inmates from sexual abuse. The SART meets once a month to review any PREA allegations received in the past 30 days. Any recommendations for installing or updating the video monitoring system or electronic surveillance system are documented on the Incident Review Form and recommendations are considered. On information provided on the Pre-Audit Questionnaire, since the last PREA audit there was a new firewall, computers, cameras and NVR installation. In interview of the Chief of Corrections, he stated they make sure all cameras make any blind spots visible and the agency continues to look for places to ensure safety.

115.21	**Evidence protocol and forensic medical examinations**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.21 (a): According to policy 3.09, pages 3 & 4, section D, the KCSO is responsible for conducting administrative sexual abuse investigations on both inmate-on-inmate and staff sexual misconduct. The agency/facility follows a uniform evidence protocol that maximizes the potential for obtaining usable physical for administrative proceeding and criminal prosecutions. Random staff interviewed were aware of the protocol for obtaining usable physical evidence. On information provided on the Pre-Audit Questionnaire and in interview of a facility Investigator, there were no allegations of sexual abuse reported in this three-year reaccreditation period; therefore, there were no administrative investigations of sexual abuse conducted. 115.21 (b): The protocol is developmentally appropriate for youth where applicable and, as appropriate, shall be adapted from or otherwise based on the most recent edition of the Department of Justice’s Office on Violence Against Women publication, “A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/ Adolescents” or similarly comprehensive and authoritative protocols developed after 2011. 115.21 (c): The KCSO offers all inmates who experience sexual abuse access to forensic medical examinations. Forensic exams are not conducted by KCSO medical staff. Victims of sexual abuse are transported to the Sexual Assault Center of East

	Tennessee or to a local hospital where SANE nurses are available to conduct SANE exams. According to information provided on the Pre-Audit Questionnaire and in interview of the HSA and the Director of Victim Services of the Sexual Assault Center of East Tennessee, in the past 12 months there were no forensic exams conducted. 115.21 (d): The agency has a Memorandum of Understanding (MOU) with the Sexual Assault Center of East Tennessee, a Program of the Helen Ross McNabb Center. On 6/30/26, the Director of Victim Services of that agency was contacted to confirm and review the terms of the MOU. The Center provides a 24-hour crisis hotline that inmates can access by dialing *999 on an inmate telephone. If an inmate reports sexual abuse, the advocate will follow-up with KCSO's PREA Coordinator with the permission of the inmate. The inmate may remain anonymous if he/she chooses to. The Center has 14 SANE nurses and one SANE Coordinator on staff. SANE exams are performed at the Helen Ross McNabb Center or at a local hospital. In addition, the agency employees six full-time advocates, two PRN advocates and four therapists. The Sexual Assault Center of East Tennessee ensures a victim advocate be available at the victim's request during the SANE exam and during investigative interviews. Victims of sexual abuse are offered sexually transmitted infections and contraception prophylaxis. Following the SANE exam, advocates offer victims emotional support services, counseling, information and referrals upon the inmate's release from KCSO custody. Advocates are available to accompany victims for civil and criminal court services and can assist victims with applying for victim compensation and orders of protection. All services provided are confidential and at no cost to the victim. The Sexual Assault Center of East Tennessee is fully grant funded. 115.21 (e): Per KCSO policy 3.09, terms of the MOU and in conversation with the Director of Victim Services of the Sexual Assault Center of East Tennessee, the Sexual Assault Center provides advocates to accompany victims through the forensic exam process. 115.21 (f): Agency Investigators conduct administrative investigations and one of the trained investigators is qualified to conduct criminal investigations. In his absence, the KCSO's Major Crimes Division would be called upon to assist with the criminal investigation. If an allegation involves staff, the allegation is referred to the Knox County Office of Professional Standards and to the Tennessee Bureau of Investigation (TBI). In this reaccreditation period there were no allegations of sexual abuse or sexual harassment reported; therefore, there were no administrative or criminal investigations conducted.

115.22	**Policies to ensure referrals of allegations for investigations**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

	115.22 (a): KCSO 18.3, page 5, section F, outlines the agency's policy and procedures for investigating and documenting incidents of sexual abuse. The agency ensures that an administrative or criminal investigation be completed for all allegations of sexual abuse and sexual harassment. The facility investigators are responsible for conducting administrative investigations of allegations of sexual abuse and sexual harassment. According to information reported on the Pre-Audit Questionnaire, in the past 12 months there were two allegations of sexual abuse and sexual harassment reported. In conversation with the PREA Coordinator, provision 115.22 (a)-2 and 115.22 (a)-3 were reported as "2". The correct information for both provisions is actually "0". There were two complaints reported but were determined not to meet the definition for PREA allegations. When interviewed the Chief of Corrections stated the agency ensures an administrative or criminal investigation is completed for all allegations of sexual abuse and sexual harassment. 115.22 (b): The KCSO facility Investigators ensure allegations of sexual abuse or sexual harassment are referred for investigation to the KCSO Major Crimes Division, unless the allegation does not involve potentially criminal behavior. KCSO documents all referrals to the KCSO Major Crimes Division. The investigative process is published on the KCSO website at *www.knoxsheriff.org.* 115.22 (c): KCSO does not utilize a separate entity to conduct criminal or administrative investigation; therefore, this provision of this standard is not applicable to KCSO. 115.22 (d): KCSO does not utilize a separate entity to conduct criminal or administrative investigation; therefore, this provision of this standard is not applicable to KCSO. 115.22 (e): KCSO does not utilize a separate entity to conduct criminal or administrative investigation; therefore, this provision of this standard is not applicable to KCSO. In the past 12 months and in this three-year reaccreditation period, there were no allegations of sexual abuse or sexual harassment reported. In interview of the PREA Coordinator and one facility Investigator, they knew that any allegations that appear to be criminal are to be referred to the KCSO Major Crimes Division.

115.31	**Employee training**
	Auditor Overall Determination: Exceeds Standard
	Auditor Discussion
	115.31 (a): All KCSO employees receive training on the agency's zero tolerance policy for sexual abuse and sexual harassment at pre-service and annually during in-service training. All training is conducted at the RDWDF and is facilitated by the Training Director. The agency's requirement of this training is found in Chapter 5, page 45,

	section E-l of the Employee Manual. The *Inmate Safety/PREA* lesson plan was provided for review and found to address all elements of this provision of this standard. In interview with the Training Director, she stated policy updates and other PREA information is shared through Power DMS throughout the year. In random interviews with employees, they were able to confirm completing PREA training in pre-service and during annual in-service training. In interview of the facility's Training Director, Pre Service PREA training and annual in-service training is instructor led classroom training facilitated by the RDWDF's PREA Compliance Manager. 115.31 (b): The KCWRC houses male inmates only, but all staff are trained to work with male and female inmates regardless of the facility they are assigned to. The training provided to all employees is tailored to meet the needs of both males and females. 115.31 (c): In information provided in the Pre-Audit Questionnaire and in review of random staff training records, employees of the KCWRC receive PREA education every year during in-service training, exceeding in the requirements of this provision. 115.31 (d). Upon completion of PREA pre-service or annual PREA in-service training, an electronic acknowledgement form is generated. Documentation of annual PREA training for employees is maintained by the facility's Training Director. Review of nine employee training records confirmed training is being completed annually, and documentation of this training is being maintained by the facility. Employees who have been employed since the last PREA audit had documentation of PREA training completed annually since the last PREA audit. The facility also provided an electronic printout, *PREA Acknowledgement of Training* showing completion of PREA training for 2023, 2024 and 2025, if applicable. In interview with staff, they were able to confirm receiving this training and knew their responsibilities for preventing, detecting, responding and reporting allegations of sexual abuse and sexual harassment. In interview of the Facility Director, she stated the deadline for annual PREA training is November 30th with make-up days scheduled in the month of December. Due to the well-maintained and complete PREA training records and the knowledge of staff interviewed, the facility was found to exceed in the requirements of this standard.

115.32	**Volunteer and contractor training**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.32 (a): According to KCSO policy Chapter 1, policy 1.14, Sub-Section B, the KCSO ensures that all volunteers and contractors who have contact with inmates are trained on their responsibilities under the KCSO’s sexual abuse and sexual harassment policies and procedures. The training curriculum, *Correctional Orientation Contractors-Interns-Volunteers*, was provided for review. The facility has six

	volunteers and three contractors. In information provided on the Pre-Audit Questionnaire, all volunteers and contractors have been trained on the agency's zero-tolerance policies and procedures. In interview with the Chaplain who is designated as the facility's Religious Services Coordinator, he stated he provides training for any new volunteers once each month as needed and annually in the third week of September he holds training for all other volunteers. 115.32 (b): The level and type of training provided to contractors and volunteers is based on the services they provide. Volunteers and contractors sign a *Volunteer/ Contractor PREA Training Acknowledgement Form* (Form #1816), acknowledging receipt and understanding of the training they received, and volunteers sign a *Volunteer Orientation Attendance Sign-In Sheet* (Form #0142). 115.32 (c): The Volunteer Coordinator maintains documentation of training for volunteers and the Training Director maintains documentation of contractor training. In review of the training records of five volunteers and three contractors there was one 2023 PREA training form missing for one contractor. All other files were complete. In interview of three volunteers and one contractor, they confirmed completing PREA training annually and confirmed some of the topics addressed in the training.

115.33	**Inmate education**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.33 (a): Based on KCSO's policy 14.1, Sub-Section F, pages 8 & 9, all inmates receive information at the time of intake about the zero-tolerance policy and how to report incidents or suspicions of sexual abuse or sexual harassment. In information provided on the Pre-Audit Questionnaire, in the past 12 months 624 inmates (estimate based on permanent logs) assigned to the KCWRC after being processed at the RDWDF received PREA information at intake. In interview of Classification staff, they confirmed what PREA information inmates are receiving at intake. Inmates receive a *Knox County Sheriff Corrections Division Inmate Handbook* and an *End the Silence* brochure (Form # 1823). Inmates sign a *PREA Comprehensive Training Form* acknowledging receiving the written information, receiving comprehensive PREA training during the interview process by Classification staff and viewing the PREA video. Inmates interviewed reported they received written information and viewed the PREA video within 24 hours of their arrival to the facility. Before inmates can access any activities on tablets or kiosks, viewing the PREA video is a required task. PREA training plays on a continuous loop in the Intake unit with audio for the blind and text subtitles in English and Spanish for the deaf. Inmates interviewed, both formally and informally, were aware of the zero-tolerance policy and the methods of reporting sexual abuse and sexual harassment available to them. They confirmed receiving the Inmate Handbook, PREA brochure, viewing the PREA video and receiving verbal

	information from Classification staff. 115.33 (b): Comprehensive PREA information is provided by Classification staff within 30 days of arrival at the facility. In review of 15 inmate records, PREA information is given to the inmates on their day of arrival to the facility and no longer than 24 hours. The facility exceeds in the requirements of this provision of this standard. 115.33 (c): All KCSO inmates who transfer from another agency receive the same PREA education as inmates assigned to the agency receive. 115.33 (d): Inmates are provided PREA information in formats accessible to all inmates. Written PREA information, posted information and information provided to inmates on the tablets and kiosks is provided in both English and Spanish. The PREA video is shown in both English and Spanish. Designated bilingual (Spanish) staff provide translation as needed for the Spanish language and Language Line Solutions is used for the translation of any other languages. 115.33 (e): In review of a total of 15 inmate-training records, documentation of PREA education is being maintained by the facility. 115.33 (f): In addition to providing PREA education at intake and within 30 days, PREA information is continuously available on posted information and on information available on the tablets and kiosks. In addition to providing written PREA education to all inmates and one-on-one instruction by Classification staff upon intake, PREA information is posted in both English and Spanish in bulletin boards in housing units and in various locations throughout the facility. Inmates interviewed were knowledgeable about PREA and confirmed receiving written and verbal information. The facility was found to exceed in the requirements of this standard.

115.34	**Specialized training: Investigations**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.34 (a): According to the *Knox County Sheriff's Office Employee Manual*, page 46, section i, in addition to general training provided to all employees, KCSO ensures facility Investigators receive training on conducting sexual abuse investigations in confinement settings. 115.34 (b): The training includes techniques for interviewing sexual abuse victims, proper use of the Miranda and Garrity warning, sexual abuse evidence collection in confinement settings and the criteria and evidence required to substantiate a case for administrative action or referral for prosecution. In interview of one Investigator, he confirmed some of the topics addressed in the training.

	115.34 (c): KCSO has two trained facility Investigators who are primarily responsible for conducting administrative investigations of sexual abuse and sexual harassment at the KCWRC. One of the Investigators is qualified to conduct criminal investigations. In his absence an allegation that appears to be criminal may be referred to the Major Crimes Division. There are additionally eight other trained Major Crime Investigators who have also completed specialized investigation training. The facility provided certificates of completion for all agency investigators. The facility also provided documentation of the general PREA training provided to all employees as well. When interviewed, the facility Investigator knew his responsibilities in conducting administrative investigations of sexual abuse and sexual harassment.

115.35	**Specialized training: Medical and mental health care**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.35 (a): According to *Knox County Sheriff's Office Employee Manual*, page 46, section ii, in addition to the general training provided to all employees, all full and part-time medical and mental care practitioners receive specialized medical training. The curriculums for these trainings were provided for review and found to include how to detect and assess signs of sexual abuse and sexual harassment, how to preserve physical evidence, how to respond effectively and professionally to victims of sexual abuse and sexual harassment and how and to whom to report allegations of sexual abuse and sexual harassment. The HSA and the Mental Health Nurse Practitioner were interviewed and were knowledgeable of the topics addressed in the specialized training they completed. 115.35 (b): This provision of the standard is not applicable to this facility. Medical staff do not perform forensic examinations. SANE examinations are performed at the Sexual Assault Center of East Tennessee or at a local hospital. 115.35 (c): Medical staff complete online *PREA: Medical Health Care for Sexual Assault Victims in a Confinement Setting* and mental health staff complete *PREA: Behavioral Health Care for Sexual Assault.* The facility provided certificates of completion for all medical and mental health providers. Medical and mental health providers are located at the RDWDF. Inmates of the KCWRC are transported to the RDWDF for medical and mental health services.

115.41	**Screening for risk of victimization and abusiveness**
	Auditor Overall Determination: Exceeds Standard
	Auditor Discussion

	115.41 (a): Inmates are screened for risk of being sexually abused or sexually abusive towards others according to KCSO policy 14.1, page 5, section III-A-1. All inmates who enter the KCSO are screened at the RDWDF by Classification staff for risk of being sexually abused or sexually abusive towards others. In interview with Classification staff, they explained the screening process. Male inmates are taken to the Classification department individually where the screening takes place to ensure privacy to screening information. Female inmates risk screenings are conducted in the Corporal's office located close to the Classification office. 115.41 (b): According to this provision, intake screening shall ordinarily take place within 72 hours or arrival at the facility. At the RDWDF intake screening is completed within 24 hours of arrival to the facility exceeding in the requirements of 72 hours in this provision. In information provided on the Pre-Audit Questionnaire, in the past 12 months, 624 inmates who were assigned to the KCWRC, were screened within 24 hours of arrival for risk of sexual victimization or risk of abusiveness. Inmates interviewed confirmed being asked screening questions on their day of arrival. 115.41 (c): Inmates are screened using the *Screening for Risk of Victimization or Abusiveness Tool* (Form #1820), an objective screening tool. The #1820 form is in the Inmate Management System (IMS), 115.41 (d): In review of the *Screening for Risk of Victimization or Abusiveness Tool* (Form #1820), the screening tool was found to consider at a minimum the following criteria: (1) Whether the resident has a mental, physical, or developmental disability. (2) The age of the resident. (3) The physical build of the resident. (4) Whether the resident has previously been incarcerated. (5) Whether the resident's criminal history is exclusively nonviolent. (6) Whether the resident has prior convictions for sex offenses against an adult or child. (7) Whether the resident is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender non-conforming. Due to the guidance from the DOJ on 12/2/25, section (7) of this this provision of this standard was identified as being non-applicable. Since this section of this provision remains in the PREA law, this provision was audited and it was determined the facility continues to adhere to the requirements of this section of this provision. (8) Whether the resident has previously experienced sexual victimization. (9) The resident's own perception of vulnerability. (10) Whether the inmate is detained solely for civil immigration purposes.

	Interview of Classification staff and the PREA Compliance Manager confirmed what information is included on the *Screening for Risk of Victimization or Abusiveness Tool.* 115.41 (e): The initial screening considers prior acts of sexual abuse, prior convictions for violent offenses, and history of prior institutional violence or sexual abuse in assessing inmates for risk of being sexually abusive, as confirmed by interview of a Classification staff member and the PREA Compliance Manager. 115.41 (f): According to KCSO policy 14.1, page 6, section C-5, within 30 days of arrival to the facility, inmates are rescreened using the *Screening for Risk of Victimization or Abusiveness Tool* (Form #1820) to reassess the inmate's risk of victimization or abusiveness. An *Inmates Without 30-Day PREA Reassessment Interview*s in IMS ensures completion of 30-day reassessments within the 30-day date of arrival requirement. Inmates assigned to the KCWRC are rescreened by a Sargeant if the inmate is still assigned to the RDWDF and screened by the KCWRC's PREA Compliance Manager if the inmate is at the KCWRC when the 30-day reassessment is due. In the past 12 months, 325 inmates whose length of stay in the facility was for 30 days or more were rescreened. In interview with PREA Compliance Manager, he explained the agency procedure for conducting 30-day reassessment screenings. In review of 15 inmate records, 30-day reassessments are being completed timely. 115.41 (g): An inmate's risk level shall also be reassessed when warranted due to a referral, request, incident of sexual abuse, or receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness. In interview of a Classification staff member and the PREA Compliance Manager, in the past 12 months there were no KCWRC inmates reassessed due to a referral, request, incident of sexual abuse or receipt of additional information. 115.41 (h): Inmates are not disciplined for refusing to answer or for not disclosing complete information in response to questions asked on the screening form. 115.41 (i): To maintain confidentiality to screening information, according to agency policy and in interview with the PREA Coordinator and the PREA Compliance Manager, they confirmed only Classification staff, the PREA Coordinator, PREA Compliance Manager, administration staff, medical and mental health staff have access to screening information in IMS. In review of 15 inmate records, all initial screenings were conducted on the day of intake to the facility and 30-day rescreenings were completed before the 30-day date of arrival to the facility. The facility was found to exceed in the requirements of this standard.

115.42	Use of screening information
	Auditor Overall Determination: Exceeds Standard
	Auditor Discussion
	115.42 (a): According to KCSO policy, 14.1, page 7, section D, the agency uses the information from the risk screening form to make housing, bed, work and program assignments with the goal of separating inmates at high risk of being sexually victimized from inmates at high risk of being sexually aggressive. In interview with the Classification Supervisor and the PREA Compliance Manager, they explained how the information is used from the risk screening form to keep inmates safe. 115.42 (b): Individualized determinations are made about how to ensure the safety of each inmate. *Classification Roster*s in IMS helps staff track those inmates who screened to be at risk for victimization or abusiveness. The alert for these inmates in IMS does not allow inmates who are screened to be at risk of victimization to be housed with inmates who are screened at risk of being sexually abusive. 115.42 (c): Guidelines on housing and program assignments for the management of transgender and intersex inmates are outlined in KCSO policy 18.7, pages 3 & 4, section B. In deciding whether to assign a transgender or intersex inmate to a male or female housing unit and in making programming assignments are reviewed on a case-by-case basis considering whether the placement would ensure the inmate's health and safety. A review committee comprised of the Security Director, Classification Supervisor, Acting HSA, Facility Commanders, Program Director and a Mental Health staff meet with newly assigned transgender and intersex inmates to complete a PREA Housing and Programs Review (Form #1819) to determine appropriate housing and programming for the inmate. In interview of the Classification Supervisor, transgender and intersex inmates would not be housed at the KCWRC. They would remain at the RDWDF. Due to the guidance from the DOJ on 12/2/25, this provision of this standard was identified as being non-applicable. Since this provision remains in the PREA law, this provision was audited and it was determined the facility continues to adhere to the requirements of this provision. 115.42 (d): Transgender and intersex inmates are reassessed at least twice a year by the PREA Coordinator to review placement and programming assignments and any threats to safety experienced by the inmate. In interview with Classification staff responsible for risk screenings, they were aware of this requirement. Due to the guidance from the DOJ on 12/2/25, this provision of this standard was identified as being non-applicable. Since this provision remains in the PREA law, this provision was audited and it was determined the facility continues to adhere to the requirements of this of this provision. 115.42 (e): Transgender and intersex inmates' own view of his safety is given serious consideration. Due to the guidance from the DOJ on 12/2/25, this provision of this standard was identified as being non-applicable. Since this provision remains in the PREA law, this provision was audited and it was determined the facility continues to adhere to the requirements of this provision.

	115.42 (f): According to agency policy, transgender and intersex inmates are given the opportunity to shower separately from other inmates. In interview with the PREA Compliance Manager, transgender and intersex inmates are scheduled to shower after the last count of the day if requested. Due to the guidance from the DOJ on 12/2/25, this provision of this standard was identified as being non-applicable. Since this provision remains in the PREA law, this provision was audited and it was determined the facility continues to adhere to the requirements of this provision. 115.42 (g): The agency does not place lesbian, gay bisexual, transgender or intersex inmates in dedicated facilities, units or wings solely based on such identification. In interview with the PREA Coordinator and the PREA Compliance Manager, the agency is not subject to a consent decree, legal settlement, or legal judgement requiring lesbian, gay, bisexual, transgender or intersex inmates be placed in a dedicated facility, unit or wing based on their sexual orientation, genital status or gender identity. Due to the guidance from the DOJ on 12/2/25, this provision of this standard was identified as being non-applicable. Since this provision remains in the PREA law, this provision was audited and it was determined the facility continues to adhere to the requirements of this provision. In review of 15 inmate screening documentation there were four inmates who scored at risk for victimization. These inmates were found being tracked on the *Classification Rosters* in IMS and were found to be housed appropriately; therefore, the facility was found to exceed in the requirements of this standard.

115.43	**Protective Custody**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.43 (a): According to KCSO policy 14.1, pages 16 & 17, section J-9, involuntary segregated housing may be used to house inmates at high risk for sexual victimization only after an assessment of all available housing alternatives has shown that there are no other means of protecting the inmate. If an assessment cannot be made immediately, the inmate may be placed in involuntary segregated housing for no more than 24 hours. In interview with the Facility Commander, he confirmed the policy prohibits placing inmates at high risk of sexual victimization or who alleged sexual abuse in involuntary segregated housing unless there are no available means to separate them from potential abusers. 115.43 (b): Inmates placed in segregated housing for this purpose will have access to programs, privileges, education and work opportunities and if not provided the facility will document the opportunities that have been limited, the duration of the limitation and the reasons for such limitations. On information on the Pre-Audit Questionnaire and in conversation with the PREA Coordinator, involuntary segregated housing would

	not be used to house inmates at high risk for sexual victimization. The facility would have the option of transferring the inmate to the RDWDF if they felt it was the best option for the inmate's safety. 115.43 (c): The policy further states that if involuntary segregated housing is used for the safety of the inmate as a means of separation, it can be used for a period not to exceed 30 days and documented the basis for the use of involuntary segregated housing and the reason why no alternative means of separation could be arranged. 115.43 (d): If an involuntary segregated housing assignment is made, the facility will document the basis for the facility's concern for the inmate's safety and the reason why no alternative means of separation can be arranged. According to information reported on the Pre-Audit Questionnaire, in the past 12 months there were no inmates of the KCWRC transferred to the RDWDF for involuntary segregated housing 115.43 (e): If necessary, to house an inmate in involuntary segregated housing for more than 30 days, a review of the inmate's status must be conducted every 30 days to determine whether there is a continuing need for separation from general population. The PREA Compliance Manager will review the placement to determine whether there is a continuing need for separation from the general population.

115.51	**Inmate reporting**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.51 (a): KCSO policy 18.2, pages 1 & 2, section A, outlines the procedures for inmate reporting of allegations of sexual abuse and sexual harassment, retaliation by other inmates or staff or staff neglect or violation of responsibilities that may have contributed to such incidents. Inmates are informed of the zero-tolerance policy and methods of reporting in the *Inmate Handbook*, page 43, in the *End the Silence* brochure, through posters displayed throughout the facility and on information provided on the kiosks and tablets. They are informed they can make a report verbally, in writing or anonymously to any staff member. Inmates can press the PREA button on a kiosk or tablet, then select "New" and type a message. Inmates are informed they can dial *888 from an inmate phone to access the internal confidential reporting line. Calls made to this number are routed to the Director of Corrections, the PREA Coordinator and the facility Investigators' cell phones. Family may call the facility or e-mail the facility at *prea@knoxsheriff.org* to report allegations for inmates. Inmates and random staff interviewed were aware of the internal inmate reporting options. 115.51 (b): Inmates are informed they have options to report abuse or harassment to a public or private entity that is not part of the agency. They are informed they can dial *999 to reach the Sexual Assault Center of East Tennessee or dial *555 to reach

	RAINN, the National Sexual Assault Hotline. They are also provided the mailing address of the Sexual Assault Center of East Tennessee. Inmates are informed calls to these numbers are toll-free and confidential and that they can remain anonymous if they wish. Inmates detained solely for civil immigration purpose are provided with information on how to contact relevant consular officials and relevant federal officials by contacting ICE Office of Professional Responsibility by writing them at 950 L'Enfant Plaza, Suite 200, Washington, DC 20536 or calling at 1-877-246-8253. In interview of the PREA Compliance Manager, he confirmed the external methods of reporting available to inmates. 115.51 (c): Employees must take all allegations of sexual abuse and harassment seriously whether they be made verbally, in writing, anonymously and from third parties and are required to promptly document all verbal reports. Staff interviewed knew the reporting options available to inmates and knew verbal reports must be documented immediately. 115.51 (d): The KCSO provides a method for staff to privately report sexual abuse and sexual harassment of inmates. Staff are informed they can notify the next level in their chain-of-command, unless the alleged perpetrator is in his or her chain of command. If so, the staff member can make the report to the KCSO Office of Professional Standards or call the confidential external reporting line. During the site review, the numbers *555, *888 and *999 were dialed. The speed dial numbers *888 and *999 were found to be accessible. The number *555 to RAINN was answered, but the call could not be connected to a local agency after answered. The number was dialed on the inmate telephones in each of the housing units, with the same issue found. The PREA Coordinator contacted the KCSO IT staff member and the contact person for Correct Pay, the telephone vendor. The telephone vendor's recommendation was to link the *555 number directly to the Sexual Assault Center of East Tennessee, bypassing RAINN. The *555 number was tested by one of the Corporals and found to connect directly to the Sexual Assault Center of East Tennessee, as recommended by the telephone vendor. In conversation with the PREA Coordinator it was recommended that in the future the RAINN number be eliminated as an option for inmates. Inmates interviewed were aware of the methods of reporting available to them. Staff interviewed were aware of privately reporting sexual abuse of inmates.

115.52	**Exhaustion of administrative remedies**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.52: KCSO is exempt from this standard. According to KCSO policy 18.2, section B, page 2, if a grievance is submitted related to sexual abuse or sexual harassment, the grievance will immediately be forwarded to the facility Investigators and is then considered a potential criminal investigation. Grievances are handled and maintained

	by a Lieutenant designated as the Grievance Coordinator. In interview of the Grievance Coordinator, in the past 12 months there were no PREA-related grievances filed. If a grievance is filed reporting sexual abuse or sexual harassment, he would forward the grievance to the investigators, make a notation to the inmate informing him that his grievance was forwarded to the facility Investigators and ask them to report their allegation via the PREA Alert button on the kiosk or tablet.

115.53	**Inmate access to outside confidential support services**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.53 (a): KCSO policy 18.2, pages 2 & 3, section C, outlines the agency's policy on providing inmates with access to outside victim advocates for emotional support services related to sexual abuse. Inmates are given the mailing address and hotline number to reach the Sexual Assault Center of East Tennessee. Inmates may make a toll-free call to the Sexual Assault Center of East Tennessee by dialing *999 on an inmate telephone or write to them at 2455 Sutherland Ave, Building B, Knoxville, TN 37919. They can also contact RAINN, National Sexual Assault Hotline, by dialing *555. Calls to this number are routed to the Sexual Assault Center of East Tennessee. The number *555 to RAINN was answered, but the call could not be connected to a local agency after answered. The number was dialed on the inmate telephones in each of the housing units, with the same issue found. The PREA Coordinator contacted the KCSO IT staff member and the contact person for Correct Pay, the telephone vendor. The telephone vendor's recommendation was to link the *555 number directly to the Sexual Assault Center of East Tennessee, bypassing RAINN. The *555 number was tested by one of the Corporals and found to connect directly to the Sexual Assault Center of East Tennessee, as recommended by the telephone vendor. In conversation with the PREA Coordinator it was recommended that in the future the RAINN number be eliminated as an option for inmates as dialing *999 is available to reach the Center as a reporting hotline as well as requesting emotional support services. Inmates detained solely for civil immigration purposes are given the address to contact ICE Office of Professional Responsibility at 950 L'Enfant Plaza, Suite 200, Washington, DC 20536 or call at 1-877-246-8253. Inmates are informed of these options of accessing outside victim advocacy services in the Inmate Handbook, on page 43, the *End the Silence* brochure, on the kiosks and tablets and on posted PREA information in numerous locations throughout the facility. 115.53 (b): Inmates are informed prior to giving them access to outside victim advocates to the extent to which such communication will be monitored and to the extent which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws. 115.53 (c): The agency has a Memorandum of Understanding (MOU) with the Sexual Assault Center of East Tennessee, a Program of the Helen Ross McNabb Center. On 6/30/26, the Director of Victim Services of that agency was contacted to confirm and

	review the terms of the MOU. The Center provides a 24-hour crisis hotline that inmates can access by dialing *999 on an inmate telephone. If an inmate reports sexual abuse, the advocate will follow-up with KCSO's PREA Coordinator with the permission of the inmate. The inmate may remain anonymous if he/she chooses to. The Center has 14 SANE nurses and one SANE Coordinator on staff. SANE exams are performed at the Helen Ross McNabb Center or at a local hospital. In addition, the agency employees six full-time advocates, two PRN advocates and four therapists. The Sexual Assault Center of East Tennessee ensures a victim advocate be available at the victim's request during the SANE exam and during investigative interviews. Victims of sexual abuse are offered sexually transmitted infections and contraception prophylaxis. Following the SANE exam, advocates offer victims emotional support services, counseling, information and referrals upon the inmate's release from KCSO custody. Advocates are available to accompany victims for civil and criminal court services and can assist victims with applying for victim compensation and orders of protection. All services provided are confidential and at no cost to the victim. The Sexual Assault Center of East Tennessee is fully grant funded.

115.54	**Third-party reporting**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.54 (a): According to policy 18.2, page 3, section D, KCSO allows third-party reports of sexual abuse and sexual harassment. Information on third-party reporting is found on the agency's website *https://knoxsheriff.org/corrections-main/.* According to the website, third parties can call or write to the Sexual Assault Center of East Tennessee, they can e-mail a complaint to *prea@knoxsheriff.org* or call the internal facility hotline at 865-281-6952. Inmates are informed of this method of reporting on page 2 of the *End the Silence* brochure (Form #1823). Inmates interviewed were aware of third-party reporting and knew a family member or friend could make a report for them.

115.61	**Staff and agency reporting duties**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.61 (a): Based on KCSO policy 18.3, pages 1 & 2, section A, KCSO requires all staff to report immediately, and according to agency policy any knowledge, suspicion or information regarding an incident of sexual abuse or sexual harassment, retaliation against inmate or staff who reported such an incident and any neglect or violation of responsibilities that may have contributed to an incident or retaliation. Staff

	interviewed knew their responsibilities of reporting immediately to their supervisor. 115.61 (b): Apart from reporting to designated supervisors, staff shall not reveal any information related to a sexual abuse report to anyone other than to the extent necessary to make treatment, investigation and other security and management decisions. Staff interviewed confirmed they know not to reveal any information about a sexual abuse incident to anyone other than those who need to know. 115.61 (c): Medical and mental health professionals are required to follow reporting procedures and are to inform inmates of their professional duty to report and the limitations of confidentiality. They are also required to obtain consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting. In interview of the Mental Health Nurse Practitioner and the HSA, they confirmed they obtain consent from inmates and inform them of their duty to report information about prior sexual victimization. 115.61 (d): If the alleged victim is under the age of 18 or is considered a vulnerable adult under state statue, KCSO is required to report the allegation to the Tennessee Department of Children Services. In interview with the Chief of Corrections and the PREA Coordinator, they both knew this requirement. The KCWRC does not house inmates under the age of 18. The PREA Coordinator stated she would contact the Department of Developmental Disabilities and Adult Protective Services if the incident involved a vulnerable adult. The Facility Commander when interviewed stated if an alleged victim was a vulnerable adult, he would consult with the facility Investigators who would know the reporting laws. 115.61 (d): The facility reports all allegations of sexual abuse and sexual harassment, including third party and anonymous reports to the facility Investigators. Interview with staff revealed staff is very knowledgeable of their responsibilities to report incidents of sexual abuse and whom to report to.

115.62	**Agency protection duties**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.62 (a): Based on the KCSO policy 18.3, page 2, section B, when any KCSO staff member learns that an inmate is subject to a substantial risk of imminent sexual abuse, the staff member will take immediate action to protect the inmate without reasonable delay. On information provided on the Pre-Audit Questionnaire, and in interview of the PREA Coordinator, at no time in the past 12 months was the facility made aware of an inmate being at risk for sexual abuse. The Chief of Corrections (agency head designee) stated if he learned an inmate was at risk of sexual abuse, he would get the facility Investigator involved and that the Classification system takes into consideration housing assignments for safety. The Facility Commander stated he would remove the inmate from the area and put him in

	another pod. Random staff interviewed, stated if they learned an inmate was at risk for sexual abuse, they would report this information to their supervisor and to the facility Investigators.

115.63	Reporting to other confinement facilities
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.63 (a): According to KCSO policy 18.3, page 2, section C, upon receiving an allegation that an inmate was sexually abused while confined at another facility, the head of the facility that received the allegation shall notify the head of the facility or appropriate office of the agency where the alleged abuse occurred. In information reported on the Pre-Audit Questionnaire, in the past 12 months there were no inmates assigned to the KCWRC who alleged being sexually abused while confined to another facility. 115.63 (b): This notification is to occur as soon as possible, but no later than 72 hours of receiving the allegation. 115.63 (c): KCSO will document that notification was provided. On information provided on the Pre-Audit Questionnaire, the KCWRC PREA Manager is responsible for contacting the facility and notifying their PREA Coordinator or Administrator. Notification is made on the *Notification to Other Agency of Alleged Abuse* (Form # 0818). Notification can also be made through e-mail or the other agency 's website. 115.63 (d): The facility head or agency office that receives a notification will ensure that the allegation is investigated in accordance with the PREA standards. In interview with Chief of Corrections (agency head designee) and the Facility Commander, they knew that if an inmate reports an allegation of sexual abuse that occurred at another facility, they must report the information to the agency head of the other facility within 72 hours. In the past 12 months there were no allegations received from other facilities that a former inmate of the KCWRC was sexually abused while assigned to KCWRC.

115.64	Staff first responder duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.64 (a): KCSO policy 18.3, pages 3 & 4, section D, outlines the procedure for first responders to allegations of sexual abuse whether that person is a security or non-security staff member. Per policy, the first staff member to respond to the report shall

	be required to: (1) Separate the alleged victim and abuser; (2) Preserve and protect the crime scene until appropriate steps can be taken to collect any evidence; (3) If the abuse occurred within a time period that still allows for the collection of physical evidence, request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking or eating; and (4) If the abuse occurred within a time period that still allows for the collection of evidence, ensure that the alleged abuser does not take any actions that could destroy physical evidence, including as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking or eating. Notification is to be made to the appropriate Shift Commander, and the incident is documented in a memorandum. In the past 12 months, there were no allegations of sexual abuse reported at the KCWRC. 115.64 (b): Agency policy mandates that if the first responder to an allegation of sexual abuse is a non-security staff member, they shall advise the alleged victim not to take any actions that could destroy physical evidence and notify security staff immediately. A victim of sexual abuse is immediately escorted to the medical department for evaluation and stabilization. All staff carry with them a PREA Card that highlights their responsibilities in response to allegations of sexual abuse and sexual harassment. Staff interviews with security and non-security staff revealed that they knew the policy and practice to follow if they were the first responder to an allegation of sexual abuse. They reported that they knew that the alleged victim and alleged abuser must be separated, they knew how to preserve the crime scene and preserve the physical evidence.

115.65	**Coordinated response**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.65 (a): KCSO policy 18.3, pages 3 & 4, section D, outlines the KCSO’s coordinated response to an allegation of sexual abuse. Upon learning of an allegation that an inmate was sexually abused, the first staff member's responsibility is separating the alleged victim and abuser and preserve and protect the crime scene. If the abuse occurred within a time that still allows for the collection of physical evidence (120 hours), request the alleged victim(s) and alleged abuser(s) not take any actions that could destroy physical evidence, including washing, brushing teeth, changing clothes,

	urinating, defecating, drinking or eating and notify the Shift Commander and document the incident in a memorandum. The plan also outlines the responsibilities of the Shift Commander and the PREA Investigators.

115.66	Preservation of ability to protect inmates from contact with abusers
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.66 (a): According to KCSO policy 18.3, page 5, section G, information provided on the Pre-Audit Questionnaire and in interview with the Chief of Corrections (agency head designee), the KCSO does not participate in collective bargaining agreements. This standard is not applicable to the KCSO facilities.

115.67	Agency protection against retaliation
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.67 (a): According to KCSO policy 18.3, pages 4 & 5, section E and in review of investigative files, KCSO has a policy in place to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff. The KCWRC PREA Compliance Manager is responsible for monitoring for retaliation and documenting on the *PREA Retaliation Review Form* (Form #1803) for inmates and Form #1803B for staff. Both forms are completed in IMS. In the past 12 months there were no allegations of sexual abuse or sexual harassment reported. 115.67 (b): The KCSO employs multiple protection measures such as housing transfers for inmate victims or abusers, incompatible listings, classification alerts in IMS, removal of alleged staff or inmate abusers from contact with victims and emotional support services for inmates and staff that fear retaliation. The Chief of Corrections (agency head designee) stated he would consider a transfer for the abuser and moving the employee to another shift or post as protection measures. The Facility Commander stated he would investigate and change housing for the inmate and move the officer to another assignment. The PREA Compliance Manager stated he would, if necessary, transfer the inmate to the RDWDF, list the alleged victim and abuser as incompatibles in IMS and change the staff member's assignment.

	115.67 (c): The initial retaliation monitoring begins within 30 days of the report of the sexual abuse and continues for 90 days following a report of sexual abuse, or longer if warranted. Monitoring will continue beyond 90 days if there is a continuing need. Retaliation monitoring will terminate if the allegation is determined to be unfounded. The Chief of Corrections and PREA Compliance Manager explained the requirements of retaliation monitoring. They stated in interview that retaliation monitoring would continue for beyond 90 days if there was a continuing need. The PREA Compliance Manager stated in interview to determine if retaliation was occurring, for staff he would look for repeated write-ups, staff assigned to problem areas and shunning by other officers. For inmates he would look for numerous write-ups or being targeted by certain officers. 115.67 (d): In the case of inmates, monitoring also includes periodic custody-level status checks. 115.67 (e): If an inmate or staff cooperates with an investigation expresses a fear of retaliation, the agency shall take appropriate measures to protect that individual against retaliation. In interview of the Chief of Corrections (agency head designee), he stated he would require retaliation monitoring for the individual and consider moving them to another area. In interview of the PREA Compliance Manager he stated, he would investigate if staff or inmate and move the officer and change housing of the inmate. When asked what he would do if he suspected retaliation, he stated he would consider disciplinary action.

115.68	**Post-allegation protective custody**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.68 (a): Per KCSO policy 14.1, pages 16 & 17, section 9, any use of segregated housing by the KCSO to protect an inmate who alleged to have suffered sexual abuse is subject to the requirements of standard 115.43, Protective Custody. The KCWRC does not have a segregated housing unit. In information provided on the Pre-Audit Questionnaire and in interview of the Chief of Corrections (agency head designee), in the past 12 months there were no inmates who alleged sexual abuse.

115.71	**Criminal and administrative agency investigations**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

	115.71 (a): The agency conducts an investigation promptly, thoroughly and objectively when notified of an allegation of sexual abuse or sexual harassment, including third party and anonymous reports. The facility Investigators are responsible for conducting administrative investigations of all sexual abuse and sexual harassment allegations. The agency's policy on administrative and criminal investigations is outlined in the KCSO policy 18.4, pages 1 & 2, section III-A. In interview with the facility Investigator, he knew his responsibilities in conducting administrative investigations. 115.71 (b): The agency has two trained Investigators who have received specialized training in sexual abuse and sexual harassment investigations. They are responsible for conducting administrative PREA investigations. One of the Investigators is qualified to conduct criminal investigations as well. Documentation was provided to show completion of required specialized training. In interview of the facility Investigators, they confirmed receiving specialized training and confirmed some of the topics addressed in the training. There are seven trained KCSO Major Crimes Investigators responsible for conducting criminal investigations. Certificates of completion of specialized training for Major Crimes Investigators were provided for review. 115.71 (c): Facility Investigators and Major Crimes Investigators gather and preserve direct and circumstantial evidence, including physical evidence and available electronic monitoring data. Investigators interview alleged victims, suspected perpetrators and witnesses and review prior complaints and reports of sexual abuse involving the suspected perpetrator. DNA evidence is collected by the Tennessee Bureau of Investigation. If an allegation involves staff, the allegation is referred to the Office of Professional Standards. 115.71 (d): When the evidence appears to support criminal prosecution, compelled interviews will be conducted after consulting with prosecutors. 115.71 (e): The credibility of an alleged victim, suspect or witness is assessed on an individual basis and shall not be determined by the person’s status as an inmate or a staff. The agency does not require an inmate who alleges sexual abuse to submit to a polygraph test. This was confirmed in interview with the Investigators. 115.71 (f): The administrative investigation shall include an effort to determine whether staff actions or failures to act contributed to the abuse. The administrative investigation is documented in IMS using the PREA and Administrative tabs. If IMS is down, the KCSO *PREA Administrative Investigation Report* (Form #1802) is used. The report includes a description of the physical and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings. Investigators interviewed confirmed what was included in the administrative investigative report. 115.71 (g): Criminal investigations are documented in a written IMS report that contains a thorough description of physical, testimonial and documentary evidence and attaches copies of all documentary evidence where feasible. 115.71 (h): Substantiated allegations shall be referred for prosecution. On information provided on the Pre-Audit Questionnaire, since the last PREA audit there were no

	substantiated allegations that appeared to be criminal referred for prosecution. 115.71 (i): The KCSO retains all written reports for as long as the alleged abuser is incarcerated or employed by the agency, plus five years in accordance with KCSO's General Order – Number 1-020. 115.71 (j): If an alleged staff abuser or victim terminates employment before the conclusion of an investigation, the investigation continues. If an inmate abuser or victim leaves the facility before the conclusion of the investigation, the investigation continues. The facility Investigator interviewed confirmed that the investigation would continue. 115.71 (k): Any state entity or Department of Justice component that conducts investigations will do so pursuant to the PREA standards. The KCWRC does not use outside agencies to conduct investigations. 115.71 (l): The facility shall cooperate with outside investigators and remain informed of the progress of the investigation through communication with outside investigators. The facility does not use outside agencies to conduct PREA investigations. In interview with the Chief of Corrections (agency head designee) PREA Coordinator, PREA Compliance Manager, and facility Investigators, they all stated Major Crimes Division, and the Office of Professional Standards would keep them informed of the status of the investigation if the allegation is referred to them for investigation. In the past 12 months and in this three-year reaccreditation period, there were no allegations of sexual abuse or sexual harassment reported.

115.72	**Evidentiary standard for administrative investigations**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.72 (a): Based on KCSO policy 18.4, page 2, section III-B, the agency shall impose no standard higher than the preponderance of evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated. When the facility Investigator was asked what standard of evidence was used in determining if an allegation is substantiated, he confirmed the agency's policy and the standard requirement.

115.73	**Reporting to inmates**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

	115.73 (a): KCSO policy 18.4, pages 2 & 3, section III-C, was used to verify compliance to this standard. The policy indicates that following an investigation of sexual abuse of an inmate, the inmate shall be informed as to whether the allegation has been determined to be substantiated, unsubstantiated or unfounded. Notification is made in writing using the *Inmate PREA Allegation Status Notification* (Form #1806). It is the responsibility of the PREA Compliance Manager or the facility Investigators to present the notice to the victim. The original form signed by the victim is filed in the investigative file. On information reported on the Pre-Audit Questionnaire, there were no allegations of sexual abuse or sexual harassment reported in this reaccreditation period. In interview with the Chief of Corrections (agency head designee), PREA Compliance Manager and facility Investigator confirmed inmates who allege sexual abuse are informed of the disposition of the investigation. 115.73 (b): If the facility did not conduct the investigation, the facility shall request the relevant information from the investigative agency in order to inform the inmate. In information provided on the Pre-Audit Questionnaire, in the last 12 months there were no sexual abuse investigations completed by outside agencies. 115.73 (c): The policy further states that following an inmate's allegation that an employee has committed sexual abuse against the inmate; the facility is required to inform the inmate of the outcome of the investigation, unless the facility has determined that the allegation was unfounded. The inmate will be informed if the staff member is no longer posted within the inmate's unit, if the staff member was indicted on a charge related to sexual abuse or if the staff member has been convicted on a charge related to sexual abuse. 115.73 (d): Following an inmate's allegation that another inmate sexually abused him, the agency shall inform the inmate of the outcome of the investigation. The inmate is informed if the alleged abuser was indicted on a charge related to sexual abuse or the alleged abuser was convicted on a charge related to sexual abuse. 115.73 (e): All notifications or attempted notifications are documented on the *Inmate PREA Allegation Status Notification* (Form #1806). It is the responsibility of the Investigator to provide the notification to the inmate. 115.73 (f): The facility's obligation to notify the inmate shall terminate if the inmate is released from custody.

115.76	**Disciplinary sanctions for staff**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.76 (a): Staff shall be subject to disciplinary sanctions up to and including termination for violating the agency's sexual abuse policy and sexual harassment policies as outlined in KCSO policy 18.5, pages 1 & 2, section III-A and in the

	Employee Manual, page 46. 115.76 (b): Termination shall be the presumptive disciplinary sanction for staff who have engaged in sexual abuse. According to information reported on the Pre-Audit Questionnaire, in the past 12 months, there were no employees found in violation of the agency/facility's zero-tolerance policies. 115.76 (c): Disciplinary sanctions for violation of agency policies related to sexual abuse or sexual harassment shall commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history and other sanctions imposed for comparable offenses by other staff with similar records. 115.76 (d): All terminations for violations of the agency's policies on sexual abuse and sexual harassment, or resignation, shall be reported to Knox County District Attorney’s Office unless the activity was clearly not criminal, and to relevant licensing bodies.

115.77	**Corrective action for contractors and volunteers**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.77 (a): Based on review of KCSO policy 18.5, page 2, section III-B, any volunteer or contractor who engages in sexual abuse should be prohibited from contact with inmates and are reported to facility Investigators and any relevant licensing bodies if the activity was criminal. 115.77 (b): If a contractor or volunteer violates the KCSO’s sexual abuse or sexual harassment policies, KCSO will take appropriate remedial measures. The PREA Coordinator will evaluate the PREA violation and determine if KCSO policies were violated and determine if existing procedures need revision. In documentation provided on the Pre-Audit Questionnaire, in the past 12 months there were no volunteers or contractors who violated the sexual abuse or sexual harassment policies. When interviewed, the Facility Commander stated the volunteer, or contractor would not be allowed access to the facility pending the outcome of the investigation.

115.78	**Disciplinary sanctions for inmates**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.78 (a): In review of KCSO policy 18,5, pages 2 & 3, section III-C, inmates will be

	subject to disciplinary sanctions following an administrative finding that the inmate engaged in inmate-on-inmate sexual abuse or a finding of guilt for inmate-on-inmate sexual abuse. According to information provided on the Pre-Audit Questionnaire, in the past 12 months and in this reaccreditation period, there were no allegations of sexual abuse reported. 115.78 (b): Sanctions will be commensurate with the nature and circumstances of the abuse committed; the inmate's disciplinary history and the sanctions imposed for comparable offenses by other inmates with similar histories. Sanctions are found in KCSO policy 11.2, *Disciplinary Infractions and Sentencing Guidelines*. When interviewed, the Facility Commander stated the inmate's disciplinary history and the sanctions imposed would be reviewed and the inmate may face criminal charges if the allegation is determined to be substantiated. 115.78 (c): The disciplinary process considers whether an inmate's mental disabilities or mental illness contributed to his behavior when determining potential sanctions. Disciplinary hearing may be postponed until Mental Health staff member has evaluated the inmate. In interview with the Facility Commander, he stated an inmate's mental disability or mental illness would be considered before sanctions were imposed. 115.78 (d): KCSO can require inmates be evaluated by Mental Health staff member or KCSO Programs staff member to address and correct underlying reasons or motivations for the abuse. In interview with the HSA and the Mental Health Nurse Practitioner, they reported if an incident occurs, the victim and the abuser will be put on an acute list and be seen within 48 hours of the incident. 115.78 (e): Inmates shall be subject to disciplinary sanctions under a formal disciplinary process and criminal charges for sexual contact with any staff member if the staff member did not consent to such contact. 115.78 (f): Inmates who allege false claims of sexual abuse can be disciplined. A report of sexual abuse made in good faith based on a reasonable belief that the alleged conduct occurred does not constitute falsely reporting an incident or lying, even if the investigation does not establish evidence sufficient to substantiate the allegation. 115.78 (g): Sexual activity between inmates is prohibited in all KCSO facilities and inmates may be disciplined for such activity. KCSO may not deem such activity to constitute criminal sexual abuse if it is determined the activity is not coerced.

115.81	**Medical and mental health screenings; history of sexual abuse**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

	115.81 (a) & (c): In review of KCSO policy 3.09, section A, pages 1 & 2, if at initial screening an inmate reports prior sexual victimization, whether it occurred in an institutional setting or in the community, Classification staff will ensure the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of intake screening. An Alert Report for inmates who score at Risk of Victimization (ROV) is generated in IMS to track inmates who self-disclosed prior victimization. At the time of the onsite audit visit, there were five inmates assigned to the facility who reported prior sexual victimization being tracked on the *ROV Alert Report.* In interview of a Classification staff member, when an inmate self-discloses during screening prior victimization, an electronic referral is sent through IMS to mental health staff. The HSA and the Mental Health Nurse Practitioner both stated these inmates are put on an PREA List and are seen within 14 days of referral. In review of 15 inmate records, five of the inmates assigned to the facility who self-disclosed prior victimization was offered mental health referrals. One inmate offered a mental health referral, declined mental health services. 115.81 (b): Inmates who have previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, are also offered a follow-up meeting with a mental health practitioner within 14 days of intake screening. An Alert Report for inmates who score at Risk of Abusiveness (ROA) is generated in IMS to track inmates who self-disclosed prior abusiveness. At the time of the onsite audit visit, there was one inmate being tracked on the *ROA Alert Report.* The Classification staff member interviewed reported inmates who self-disclose previously perpetrating sexual abuse are also referred to mental health staff. In interview with the Mental Health Nurse Practitioner, these inmates are also put on the PREA List and are seen within 14 days of referral. 115.81 (d): Information related to sexual victimization or abusiveness that occurred in an institutional setting is limited to medical and mental health practitioners unless the inmate is under the age of 18. Access to screening information in IMS is limited with only those who need to know this information having access. Classification staff, medical, mental health, administration staff and the PREA Coordinator and PREA Compliance Manager are allowed access to this information. 115.81 (e): Medical and mental health practitioners obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting. This was confirmed in interview with the HSA and Mental Health Nurse Practitioner.

115.82	**Access to emergency medical and mental health services**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.82 (a): Based on KCSO policy 3.09, section B, page 2, inmate victims of sexual

	abuse shall receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgement. The HSA and Mental Health Nurse Practitioner interviewed explained the emergency medical services offered to victims of sexual abuse. In interview with the HSA she reported alleged victims and alleged predators are seen by medical staff immediately following a report of sexual abuse. The HSA and the Mental Health Nurse Practitioner when interviewed reported the alleged victim would be put on the Acute List and seen by mental health staff within 24-48 hours following the report of an allegation of sexual abuse. 115.82 (b): Inmates are provided medical and mental services at the RDWDF. The RDWDF medical department is staffed 24 hours a day, seven days a week. Security first responders to allegations of sexual abuse take preliminary steps to protect the victim and escort the victim to the medical department immediately. Random staff interviewed confirmed their first responder duties. They take preliminary steps to protect the victim and notify medical and mental health providers immediately. All staff carry with them a PREA Card reminding them of the steps they are to take if they are a first responder to an allegation of sexual abuse. 115.82 (c): The agency has a Memorandum of Understanding (MOU) with the Sexual Assault Center of East Tennessee, a Program of the Helen Ross McNabb Center. On 6/30/26, the Director of Victim Services of that agency was contacted to confirm and review the terms of the MOU. The Center provides a 24-hour crisis hotline that inmates can access by dialing *999 on an inmate telephone. If an inmate reports sexual abuse, the advocate will follow-up with KCSO's PREA Coordinator with the permission of the inmate. The inmate may remain anonymous if he/she chooses to. The Center has 14 SANE nurses and one SANE Coordinator on staff. SANE exams are performed at the Helen Ross McNabb Center or at a local hospital. In addition, the agency employees six full-time advocates, two PRN advocates and four therapists. The Sexual Assault Center of East Tennessee ensures a victim advocate be available at the victim's request during the SANE exam and during investigative interviews. Victims of sexual abuse are offered sexually transmitted infections and contraception prophylaxis. Following the SANE exam, advocates offer victims emotional support services, counseling, information and referrals upon the inmate's release from KCSO custody. Advocates are available to accompany victims for civil and criminal court services and can assist victims with applying for victim compensation and orders of protection. All services provided are confidential and at no cost to the victim. The Sexual Assault Center of East Tennessee is fully grant funded. 115.82 (d): Medical and mental health treatment services are provided at no cost to the victim whether the victim names the abuser or cooperates with any investigation arising out of the incident.

115.83	**Ongoing medical and mental health care for sexual abuse victims**

	and abusers
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.83 (a): Based on interviews with the HSA and the Mental Health Practitioner and in review of KCSO policy 3.9, Sub-Section C, pages 2 & 3, the facility offers medical and mental health evaluations and treatment to all inmates who have been victimized by sexual abuse. On information provided by the agency and in interview with the HSA and the Mental Health Practitioner, in the past 12 months there were no inmates requiring ongoing medical or mental health services due to sexual abuse. 115.83 (b): The evaluation and treatment of victims shall include follow-up services, treatment plans and referrals for continued care following their transfer to another facility or their release from custody. If when evaluated by medical staff physical trauma is evident, the alleged victim will be transported to the local hospital, according to policy 13-79. The HSA and the Mental Health Nurse Practitioner interviewed confirmed this practice. Mental health services will be provided as needed by facility mental health providers at the RDWDF. 115.83 (c): KCSO medical and mental health staff provide victims medical and mental health services consistent with community level of care. In interview with the HSA and Mental Health Nurse Practitioner, they confirmed services they offer are consistent with the community level of care. 115.83 (d): The KCWRC is an all-male facility; therefore, this provision is not applicable to this facility. 115.83 (e): The Director of Victim Services of the Sexual Assault Center of East Tennessee when interviewed stated victims of sexual abuse are offered sexually transmitted infections and contraception prophylaxis. In interview of the HSA, she stated when the alleged victim returns to the facility from a forensic exam, the inmate would be told if pregnancy results from the sexual abuse, the inmate will receive timely and comprehensive information and timely access to all lawful pregnancy-related medical services. 115.83 (f): The Director of Victim Services of the Sexual Assault Center of East Tennessee when interviewed stated inmate victims of sexual abuse are offered tests for sexually transmitted infections as medically appropriate and sexually transmitted infection prophylaxis. 115.83 (g): Treatment services are provided at no cost to the victim whether the victim names the abuser or cooperates with any investigation of the incident. 115.83 (h): In interview with Mental Health Nurse Practitioner, she reported inmate abusers would be seen within 24-48 hours of the incident being reported.

115.86	Sexual abuse incident reviews
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.86 (a): Based on KCSO policy 18.6, section III-A, pages 1 & 2, the KCSO conducts a sexual abuse incident review at the conclusion of every sexual abuse investigation in which the allegation has been determined to be substantiated or unsubstantiated. In the past 12 months and in this reaccreditation period, there were no allegations of sexual abuse reported. 115.86 (b): The review shall occur within 30 days of the conclusion of the investigation. 115.86 (c): The SART are responsible for conducting incident reviews. Members of the SART include the Chief of Corrections, PREA Coordinator, PREA Compliance Manager, Facility Commander, the HSA, a Mental Health provider and the Investigators. In interview with the Facility Commander, he confirmed the members of the SART. 115.86 (d): When reviewing an incident, the SART considers the following requirements: (1) Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse; (2) Consider whether the incident or allegation was motivated by race; ethnicity; **gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status or perceived status**; or gang affiliation; or was motivated or otherwise caused by other group dynamics at the facility; (Due to the guidance from the DOJ on 12/2/25, the bolded section of this section of this provision was identified as being non-applicable. Since this provision remains in the PREA law, this provision was audited and it was determined the facility continues to adhere to the requirements of this section of this provision of the standard.) (3) Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse; (4) Assess the adequacy of staffing levels in that area during different shifts; (5) Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff; and (6) Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to paragraphs (d)(1) -(d)(5) of this section, and any recommendations for improvement and submit such report to the facility head and PREA Coordinator. All findings and recommendations for improvement are documented on Form #1804, *Sexual Abuse Incident Review Form*, and completed forms are forwarded to

	the Chief of Corrections. When interviewed, members of the SART they knew their responsibilities as they relate to the review of sexual abuse incidents. 115.86 (e): The SART makes recommendations based on their review of the incident and the facility shall implement the recommendations for improvement, if any or document the reasons for not doing so.

115.87	**Data collection**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.87 (a): According to KCSO policy 18.6, section B, pages 2 & 3, the PREA Coordinator collects accurate, uniform data for every allegation of sexual abuse at all three KCSO facilities using a standardized instrument and set of definitions. He obtains information from the Inmate Management Reports and *Monthly PREA Statistical Reports* completed by the Personnel Office, Training Director, Classification Supervisors and the HSA. 115.87 (b): The PREA Coordinator aggregates the incident-based sexual abuse data at least annually. 115.87 (c): The data the PREA Coordinator collects includes, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice. According to information provided on the Pre-Audit Questionnaire, DOJ has not requested this information from the previous calendar year. 115.87 (d): The PREA Coordinator maintains, reviews and collects data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews. 115.87 (e): This provision of this standard is not applicable to this agency. KCSO does not contract for the confinement of its inmates.

115.88	**Data review for corrective action**
	Auditor Overall Determination: Exceeds Standard
	Auditor Discussion
	115.88 (a): Information on data collection is found on page 2, section C of KCSO policy 18.6. The KCSO Corrections Division reviews data collected and aggregated pursuant standard 115.87 in order to assess and improve the effectiveness of its

	sexual abuse prevention, detection and response policies, practices and training by identifying problem areas, taking corrective action on an ongoing basis; and preparing an annual report of its findings and corrective actions for each facility as well as the agency as a whole. In interview with the Chief of Corrections (agency head designee), PREA Coordinator and PREA Compliance Manager, they confirmed PREA data is reported for the annual report. The Chief of Corrections stated it makes us look at ways to improve the PREA program such as change policies or have more training. The PREA Coordinator stated she writes the annual report and collects the data and the PREA Compliance Manager stated she reviews the data. 115.88 (b): The annual report includes a comparison of the current year's data and corrective actions with those from prior years and provides an assessment of the agency's progress in addressing sexual abuse. 115.88 (c): The Annual Report is approved by the Chief of Corrections and made readily available to the public on KCSO's website at *www.knoxsheriff.org*. In review of the agency's website, Annual Reports for 2014-2025 were found posted. In interview with the Chief of Corrections, he stated he approves the annual reports. 115.88 (d): Before posting the Annual Report on the agency's website, identifying information is redacted from the report and the report indicates the nature of the material that is redacted. In interview with the PREA Coordinator, she reported personal identifying information is not contained in the annual reports. The annual reports prepared by the PREA Coordinator and posted on the agency's website since the last PREA audit were well written with easy-to-read tables according to the type of allegations and the investigative findings of all three KCSO facilities, as well as a narrative overview of this information. The report provides a review of incident-based data with a comparison of aggregated data for the previous years in the audit cycle. The facility was found to exceed in the requirements of this standard.

115.89	**Data storage, publication, and destruction**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.89 (a): According to KCSO policy 18.6, page 3, section D, the agency ensures that the data collected pursuant to standard 115.87 is securely retained. In interview with the PREA Coordinator she stated the facility ensures data is secure by allowing only limited access to investigative files. Information is kept on a specific drive, which is backed up weekly. 115.89 (b): KCSO makes all aggregated sexual abuse data from the three facilities under direct control readily available to the public annually on their website at www.knoxsheriff.org.

	115.89 (c): Before making aggregated sexual abuse data publicly available on KCSO's website, all personal identifiers are removed. In interview of the PREA Coordinator, she stated she does not include any inmate or staff personal identifiers in the annual report. 115.89 (d): According to KCSO policy 18.6, page 3, section D-4, KCSO retains data collected for at least 10 years after the date of the initial collection. This information was confirmed in interview with the PREA Coordinator.

115.401	**Frequency and scope of audits**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.401 (a): According to agency policy 18.6, pages 3 & 4, section F, the KCSO ensures that during the three-year period starting on August 20, 2013, an external audit of each of KCSO's facilities is conducted every three years to ensure compliance with the agency's policy, the PREA National Standards and federal law and regulations. 115.401 (b): KCSO bears the burden of demonstrating compliance with the PREA standards. According to the agency's PREA Coordinator, during the three-year period beginning on August 20, 2013, KCSO ensured that each of its facilities were audited at least once and continues to ensure that its facilities are audited every three years. The initial PREA audit of this facility was in 2017, and a reaccreditation audit conducted in 2021 (late due to the pandemic). The last PREA audit of the KCWRC was conducted in 2024. 115.401 (f): I received and reviewed all relevant agency-wide policies for the KCSO Corrections Division. 115.401 (g): I reviewed a sampling of relevant documents and other records and information for the most recent one-year period. 115.401 (h): During the audit, I was allowed access to all areas of the KCWRC. I also conducted a site review of the RDWDF's Intake unit, medical unit and Classification department, all areas that KCWRC inmates have access to. 115.401 (i): I was permitted to request and receive copies of any relevant documentation, including information that was stored electronically. 115.401 (j): I have retained all documentation relied upon to make audit determinations. The documentation will be provided to the Department of Justice upon request. 115.401 (k): I interviewed a representative sample of inmates, staff, volunteers and contractors.

	115.401 (l): I reviewed camera monitors and electronically stored data while on site. 115.401 (m): I was permitted to conduct private interviews with inmates and staff ensuring confidentiality to our conversation. 115.401 (n): Inmates were notified on 5/14/26, on posted facility notices, in both English and Spanish, that they could send confidential correspondence to me and were given my name and mailing address. I did not receive any correspondence from inmates, staff, contractors or volunteers from KCWRC. 115.401 (o): The agency has a Memorandum of Understanding (MOU) with the Sexual Assault Center of East Tennessee, a Program of the Helen Ross McNabb Center. On 6/30/26, the Director of Victim Services of that agency was contacted to confirm and review the terms of the MOU. The Center provides a 24-hour crisis hotline that inmates can access by dialing *999 on an inmate telephone. If an inmate reports sexual abuse, the advocate will follow-up with KCSO's PREA Coordinator with the permission of the inmate. The inmate may remain anonymous if he/she chooses to. The Center has 14 SANE nurses and one SANE Coordinator on staff. SANE exams are performed at the Helen Ross McNabb Center or at a local hospital. In addition, the agency employees six full-time advocates, two PRN advocates and four therapists. The Sexual Assault Center of East Tennessee ensures a victim advocate be available at the victim's request during the SANE exam and during investigative interviews. Victims of sexual abuse are offered sexually transmitted infections and contraception prophylaxis. Following the SANE exam, advocates offer victims emotional support services, counseling, information and referrals upon the inmate's release from KCSO custody. Advocates are available to accompany victims for civil and criminal court services and can assist victims with applying for victim compensation and orders of protection. All services provided are confidential and at no cost to the victim. The Sexual Assault Center of East Tennessee is fully grant funded.

115.403	**Audit contents and findings**
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.403 (a): Each audit includes a certification by the auditor that no conflict of interest exists with respect to his/her ability to conduct an audit. No audit may be conducted by an auditor who has received financial compensation from KCSO within the three years prior to KCSO retaining the auditor. KCSO will not employ or otherwise financially compensate the auditor for three years subsequent to KCSO's retention of the auditor, with the exception of contracting for subsequent PREA audits. I certify by my signature in the Auditor's Certification section of this report that no conflict of interest exists with my ability to conduct this audit. 115.403 (b): Audit reports shall state whether company-wide policies and

	procedures comply with relevant PREA National Standards. In thorough review of KCSO's policies, the policies were found to be well written and comprehensive addressing each provision of each standard, complying with the PREA National Standards. 115.403 (c): For each standard I made a determination of a finding of Exceeds Standard for standards 115.11, 115.13, 115.31, 115.41, 115,42 and 115.89. The remaining standards were all determined to Meet Standard. 115.403 (d): This report describes the methodology, sampling sizes and basis for my conclusions as required. 115.403 (e): I have redacted any personal identifiable inmate and staff information, but I can provide such information to the Department of Justice upon request. 115.403 (f): Per agency policy and standard requirements, the PREA Coordinator ensures that this final report will be published on their website at *www.knoxsheriff.org.*

Appendix: Provision Findings		
115.11 (a)	**Zero tolerance of sexual abuse and sexual harassment; PREA coordinator**	
	Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment?	yes
	Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment?	yes
115.11 (b)	**Zero tolerance of sexual abuse and sexual harassment; PREA coordinator**	
	Has the agency employed or designated an agency-wide PREA Coordinator?	yes
	Is the PREA Coordinator position in the upper-level of the agency hierarchy?	yes
	Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities?	yes
115.11 (c)	**Zero tolerance of sexual abuse and sexual harassment; PREA coordinator**	
	If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.)	yes
	Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.)	yes
115.12 (a)	**Contracting with other entities for the confinement of inmates**	
	If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	na
115.12 (b)	**Contracting with other entities for the confinement of inmates**	
	Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure	na

	that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	
115.13 (a)	**Supervision and monitoring**	
	Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Generally accepted detention and correctional practices?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any judicial findings of inadequacy?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from Federal investigative agencies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from internal or external oversight bodies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: All components of the facility’s physical plant (including “blind-spots” or areas where staff or inmates may be isolated)?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the inmate population?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The number and placement of supervisory staff?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The institution programs occurring on a particular shift?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into	yes

	consideration: Any applicable State or local laws, regulations, or standards?	
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors?	yes
115.13 (b)	**Supervision and monitoring**	
	In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.)	na
115.13 (c)	**Supervision and monitoring**	
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility’s deployment of video monitoring systems and other monitoring technologies?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan?	yes
115.13 (d)	**Supervision and monitoring**	
	Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment?	yes
	Is this policy and practice implemented for night shifts as well as day shifts?	yes
	Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility?	yes

115.14 (a)	**Youthful inmates**	
	Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.14 (b)	**Youthful inmates**	
	In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.14 (c)	**Youthful inmates**	
	Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.15 (a)	**Limits to cross-gender viewing and searches**	
	Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?	yes
115.15 (b)	**Limits to cross-gender viewing and searches**	
	Does the facility always refrain from conducting cross-gender pat-down searches of female inmates, except in exigent circumstances? (N/A if the facility does not have female inmates.)	na
	Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A if the	na

	facility does not have female inmates.)	
115.15 (c)	**Limits to cross-gender viewing and searches**	
	Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches?	yes
	Does the facility document all cross-gender pat-down searches of female inmates (N/A if the facility does not have female inmates)?	na
115.15 (d)	**Limits to cross-gender viewing and searches**	
	Does the facility have policies that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	no
	Does the facility have procedures that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	no
	Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit?	no
115.15 (e)	**Limits to cross-gender viewing and searches**	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
115.15 (f)	**Limits to cross-gender viewing and searches**	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
115.16 (a)	**Inmates with disabilities and inmates who are limited English proficient**	
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency’s efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency’s efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision?	yes

	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.)	yes
	Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing?	yes
	Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have intellectual disabilities?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: are blind or have low vision?	yes
115.16 (b)	**Inmates with disabilities and inmates who are limited English proficient**	

	Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient?	yes
	Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
115.16 (c)	**Inmates with disabilities and inmates who are limited English proficient**	
	Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations?	yes
115.17 (a)	**Hiring and promotion decisions**	
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to	yes

	consent or refuse?	
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes
115.17 (b)	**Hiring and promotion decisions**	
	Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with inmates?	yes
	Does the agency consider any incidents of sexual harassment in determining whether to enlist the services of any contractor who may have contact with inmates?	yes
115.17 (c)	**Hiring and promotion decisions**	
	Before hiring new employees who may have contact with inmates, does the agency perform a criminal background records check?	yes
	Before hiring new employees who may have contact with inmates, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse?	yes
115.17 (d)	**Hiring and promotion decisions**	
	Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates?	yes
115.17 (e)	**Hiring and promotion decisions**	
	Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees?	yes
115.17 (f)	**Hiring and promotion decisions**	
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions?	yes
	Does the agency ask all applicants and employees who may have	yes

	contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees?	
	Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct?	yes
115.17 (g)	**Hiring and promotion decisions**	
	Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination?	yes
115.17 (h)	**Hiring and promotion decisions**	
	Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.)	yes
115.18 (a)	**Upgrades to facilities and technologies**	
	If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.)	na
115.18 (b)	**Upgrades to facilities and technologies**	
	If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.)	yes
115.21 (a)	**Evidence protocol and forensic medical examinations**	
	If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the	yes

	agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	
115.21 (b)	**Evidence protocol and forensic medical examinations**	
	Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
	Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/ Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
115.21 (c)	**Evidence protocol and forensic medical examinations**	
	Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate?	yes
	Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible?	yes
	If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)?	yes
	Has the agency documented its efforts to provide SAFEs or SANEs?	yes
115.21 (d)	**Evidence protocol and forensic medical examinations**	
	Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?	yes
	If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? (N/A if the agency always makes a victim advocate from a rape crisis center available to victims.)	na

	Has the agency documented its efforts to secure services from rape crisis centers?	yes
115.21 (e)	**Evidence protocol and forensic medical examinations**	
	As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?	yes
	As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?	yes
115.21 (f)	**Evidence protocol and forensic medical examinations**	
	If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)	yes
115.21 (h)	**Evidence protocol and forensic medical examinations**	
	If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency always makes a victim advocate from a rape crisis center available to victims.)	na
115.22 (a)	**Policies to ensure referrals of allegations for investigations**	
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?	yes
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?	yes
115.22 (b)	**Policies to ensure referrals of allegations for investigations**	
	Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior?	yes

	Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?	yes
	Does the agency document all such referrals?	yes
115.22 (c)	**Policies to ensure referrals of allegations for investigations**	
	If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).)	na
115.31 (a)	**Employee training**	
	Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?	yes
	Does the agency train all employees who may have contact with inmates on inmates’ right to be free from sexual abuse and sexual harassment	yes
	Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement?	yes
	Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims?	yes
	Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse?	yes
	Does the agency train all employees who may have contact with inmates on how to avoid inappropriate relationships with inmates?	yes
	The subsection of this provision is no longer applicable to your compliance finding, please select N/A.	na
	Does the agency train all employees who may have contact with	yes

	inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?	
115.31 (b)	**Employee training**	
	Is such training tailored to the gender of the inmates at the employee's facility?	yes
	Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa?	yes
115.31 (c)	**Employee training**	
	Have all current employees who may have contact with inmates received such training?	yes
	Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures?	yes
	In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies?	yes
115.31 (d)	**Employee training**	
	Does the agency document, through employee signature or electronic verification, that employees understand the training they have received?	yes
115.32 (a)	**Volunteer and contractor training**	
	Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures?	yes
115.32 (b)	**Volunteer and contractor training**	
	Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)?	yes
115.32 (c)	**Volunteer and contractor training**	

	Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received?	yes
115.33 (a)	**Inmate education**	
	During intake, do inmates receive information explaining the agency’s zero-tolerance policy regarding sexual abuse and sexual harassment?	yes
	During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment?	yes
115.33 (b)	**Inmate education**	
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents?	yes
115.33 (c)	**Inmate education**	
	Have all inmates received the comprehensive education referenced in 115.33(b)?	yes
	Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate’s new facility differ from those of the previous facility?	yes
115.33 (d)	**Inmate education**	
	Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are deaf?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled?	yes

	Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills?	yes
115.33 (e)	**Inmate education**	
	Does the agency maintain documentation of inmate participation in these education sessions?	yes
115.33 (f)	**Inmate education**	
	In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats?	yes
115.34 (a)	**Specialized training: Investigations**	
	In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.34 (b)	**Specialized training: Investigations**	
	Does this specialized training include techniques for interviewing sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include proper use of Miranda and Garrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.34 (c)	**Specialized training: Investigations**	

	Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.35 (a)	**Specialized training: Medical and mental health care**	
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or suspicions of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
115.35 (b)	**Specialized training: Medical and mental health care**	
	If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams or the agency does not employ medical staff.)	na
115.35 (c)	**Specialized training: Medical and mental health care**	
	Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental	yes

	health care practitioners who work regularly in its facilities.)	
115.35 (d)	**Specialized training: Medical and mental health care**	
	Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners employed by the agency.)	yes
	Do medical and mental health care practitioners contracted by or volunteering for the agency also receive training mandated for contractors and volunteers by §115.32? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners contracted by or volunteering for the agency.)	yes
115.41 (a)	**Screening for risk of victimization and abusiveness**	
	Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
	Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
115.41 (b)	**Screening for risk of victimization and abusiveness**	
	Do intake screenings ordinarily take place within 72 hours of arrival at the facility?	yes
115.41 (c)	**Screening for risk of victimization and abusiveness**	
	Are all PREA screening assessments conducted using an objective screening instrument?	yes
115.41 (d)	**Screening for risk of victimization and abusiveness**	
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate?	yes
	Does the intake screening consider, at a minimum, the following	yes

	criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated?	
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate’s criminal history is exclusively nonviolent?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child?	yes
	The subsection of this provision is no longer applicable to your compliance finding, please select N/A.	na
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate’s own perception of vulnerability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10) Whether the inmate is detained solely for civil immigration purposes?	yes
115.41 (e)	**Screening for risk of victimization and abusiveness**	
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior acts of sexual abuse?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior convictions for violent offenses?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: history of prior institutional violence or sexual abuse?	yes
115.41 (f)	**Screening for risk of victimization and abusiveness**	
	Within a set time period not more than 30 days from the inmate’s arrival at the facility, does the facility reassess the inmate’s risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening?	yes

115.41 (g)	Screening for risk of victimization and abusiveness	
	Does the facility reassess an inmate’s risk level when warranted due to a referral?	yes
	Does the facility reassess an inmate’s risk level when warranted due to a request?	yes
	Does the facility reassess an inmate’s risk level when warranted due to an incident of sexual abuse?	yes
	Does the facility reassess an inmate’s risk level when warranted due to receipt of additional information that bears on the inmate’s risk of sexual victimization or abusiveness?	yes
115.41 (h)	**Screening for risk of victimization and abusiveness**	
	Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?	yes
115.41 (i)	**Screening for risk of victimization and abusiveness**	
	Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive information is not exploited to the inmate’s detriment by staff or other inmates?	yes
115.42 (a)	**Use of screening information**	
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of	yes

	being sexually abusive, to inform: Education Assignments?	
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments?	yes
115.42 (b)	**Use of screening information**	
	Does the agency make individualized determinations about how to ensure the safety of each inmate?	yes
115.42 (c)	**Use of screening information**	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
115.42 (d)	**Use of screening information**	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
115.42 (e)	**Use of screening information**	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
115.42 (f)	**Use of screening information**	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
115.42 (g)	**Use of screening information**	
	This provision is no longer applicable to your compliance finding, please select N/A.	na
115.43 (a)	**Protective Custody**	
	Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers?	yes
	If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment?	yes
115.43 (b)	**Protective Custody**	
	Do inmates who are placed in segregated housing because they	yes

	are at high risk of sexual victimization have access to: Programs to the extent possible?	
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible?	yes
	If the facility restricts any access to programs, privileges, education, or work opportunities, does the facility document the opportunities that have been limited? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the duration of the limitation? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the reasons for such limitations? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
115.43 (c)	**Protective Custody**	
	Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged?	yes
	Does such an assignment not ordinarily exceed a period of 30 days?	yes
115.43 (d)	**Protective Custody**	
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The basis for the facility’s concern for the inmate’s safety?	yes
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The reason why no alternative means of separation	yes

	can be arranged?	
115.43 (e)	**Protective Custody**	
	In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS?	yes
115.51 (a)	**Inmate reporting**	
	Does the agency provide multiple internal ways for inmates to privately report: Sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents?	yes
115.51 (b)	**Inmate reporting**	
	Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?	yes
	Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials?	yes
	Does that private entity or office allow the inmate to remain anonymous upon request?	yes
	Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security? (N/A if the facility never houses inmates detained solely for civil immigration purposes.)	yes
115.51 (c)	**Inmate reporting**	
	Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?	yes
	Does staff promptly document any verbal reports of sexual abuse and sexual harassment?	yes
115.51 (d)	**Inmate reporting**	

	Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates?	yes
115.52 (a)	**Exhaustion of administrative remedies**	
	Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse.	yes
115.52 (b)	**Exhaustion of administrative remedies**	
	Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)	na
	Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)	na
115.52 (c)	**Exhaustion of administrative remedies**	
	Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	na
	Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	na
115.52 (d)	**Exhaustion of administrative remedies**	
	Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)	na
	If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision,	na

	does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)	
	At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)	na
115.52 (e)	**Exhaustion of administrative remedies**	
	Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)	na
	Are those third parties also permitted to file such requests on behalf of inmates? (If a third party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.)	na
	If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate’s decision? (N/A if agency is exempt from this standard.)	na
115.52 (f)	**Exhaustion of administrative remedies**	
	Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	na
	After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.).	na
	After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)	na
	After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days?	na

	(N/A if agency is exempt from this standard.)	
	Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	na
	Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	na
	Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	na
115.52 (g)	**Exhaustion of administrative remedies**	
	If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.)	na
115.53 (a)	**Inmate access to outside confidential support services**	
	Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations?	yes
	Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers, including toll-free hotline numbers where available of local, State, or national immigrant services agencies? (N/A if the facility never has persons detained solely for civil immigration purposes.)	yes
	Does the facility enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible?	yes
115.53 (b)	**Inmate access to outside confidential support services**	
	Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws?	yes
115.53 (c)	**Inmate access to outside confidential support services**	
	Does the agency maintain or attempt to enter into memoranda of	yes

	understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse?	
	Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements?	yes
115.54 (a)	**Third-party reporting**	
	Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment?	yes
	Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate?	yes
115.61 (a)	**Staff and agency reporting duties**	
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual abuse or sexual harassment or retaliation?	yes
115.61 (b)	**Staff and agency reporting duties**	
	Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions?	yes
115.61 (c)	**Staff and agency reporting duties**	
	Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section?	yes
	Are medical and mental health practitioners required to inform inmates of the practitioner’s duty to report, and the limitations of	yes

	confidentiality, at the initiation of services?	
115.61 (d)	**Staff and agency reporting duties**	
	If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws?	yes
115.61 (e)	**Staff and agency reporting duties**	
	Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators?	yes
115.62 (a)	**Agency protection duties**	
	When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate?	yes
115.63 (a)	**Reporting to other confinement facilities**	
	Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred?	yes
115.63 (b)	**Reporting to other confinement facilities**	
	Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation?	yes
115.63 (c)	**Reporting to other confinement facilities**	
	Does the agency document that it has provided such notification?	yes
115.63 (d)	**Reporting to other confinement facilities**	
	Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards?	yes
115.64 (a)	**Staff first responder duties**	
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report	yes

	required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence?	
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
115.64 (b)	**Staff first responder duties**	
	If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff?	yes
115.65 (a)	**Coordinated response**	
	Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in response to an incident of sexual abuse?	yes
115.66 (a)	**Preservation of ability to protect inmates from contact with abusers**	
	Are both the agency and any other governmental entities responsible for collective bargaining on the agency’s behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limit the agency’s ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted?	yes
115.67 (a)	**Agency protection against retaliation**	
	Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate	yes

	with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff?	
	Has the agency designated which staff members or departments are charged with monitoring retaliation?	yes
115.67 (b)	**Agency protection against retaliation**	
	Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations?	yes
115.67 (c)	**Agency protection against retaliation**	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any inmate disciplinary reports?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes?	yes

	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff?	yes
	Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need?	yes
115.67 (d)	**Agency protection against retaliation**	
	In the case of inmates, does such monitoring also include periodic status checks?	yes
115.67 (e)	**Agency protection against retaliation**	
	If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?	yes
115.68 (a)	**Post-allegation protective custody**	
	Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43?	yes
115.71 (a)	**Criminal and administrative agency investigations**	
	When the agency conducts its own investigations into allegations of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes
	Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/ facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes
115.71 (b)	**Criminal and administrative agency investigations**	
	Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34?	yes
115.71 (c)	**Criminal and administrative agency investigations**	
	Do investigators gather and preserve direct and circumstantial	yes

	evidence, including any available physical and DNA evidence and any available electronic monitoring data?	
	Do investigators interview alleged victims, suspected perpetrators, and witnesses?	yes
	Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?	yes
115.71 (d)	**Criminal and administrative agency investigations**	
	When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution?	yes
115.71 (e)	**Criminal and administrative agency investigations**	
	Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual’s status as inmate or staff?	yes
	Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding?	yes
115.71 (f)	**Criminal and administrative agency investigations**	
	Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse?	yes
	Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings?	yes
115.71 (g)	**Criminal and administrative agency investigations**	
	Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible?	yes
115.71 (h)	**Criminal and administrative agency investigations**	
	Are all substantiated allegations of conduct that appears to be criminal referred for prosecution?	yes
115.71 (i)	**Criminal and administrative agency investigations**	

	Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years?	yes
115.71 (j)	**Criminal and administrative agency investigations**	
	Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation?	yes
115.71 (l)	**Criminal and administrative agency investigations**	
	When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).)	na
115.72 (a)	**Evidentiary standard for administrative investigations**	
	Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated?	yes
115.73 (a)	**Reporting to inmates**	
	Following an investigation into an inmate’s allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded?	yes
115.73 (b)	**Reporting to inmates**	
	If the agency did not conduct the investigation into an inmate’s allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.)	na
115.73 (c)	**Reporting to inmates**	
	Following an inmate’s allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the inmate’s unit?	yes
	Following an inmate’s allegation that a staff member has	yes

	committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility?	
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility?	yes
115.73 (d)	**Reporting to inmates**	
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility?	yes
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility?	yes
115.73 (e)	**Reporting to inmates**	
	Does the agency document all such notifications or attempted notifications?	yes
115.76 (a)	**Disciplinary sanctions for staff**	
	Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies?	yes
115.76 (b)	**Disciplinary sanctions for staff**	
	Is termination the presumptive disciplinary sanction for staff who	yes

	have engaged in sexual abuse?	
115.76 (c)	**Disciplinary sanctions for staff**	
	Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member’s disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories?	yes
115.76 (d)	**Disciplinary sanctions for staff**	
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies(unless the activity was clearly not criminal)?	yes
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies?	yes
115.77 (a)	**Corrective action for contractors and volunteers**	
	Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies?	yes
115.77 (b)	**Corrective action for contractors and volunteers**	
	In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates?	yes
115.78 (a)	**Disciplinary sanctions for inmates**	
	Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process?	yes
115.78 (b)	**Disciplinary sanctions for inmates**	

	Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories?	yes
115.78 (c)	**Disciplinary sanctions for inmates**	
	When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior?	yes
115.78 (d)	**Disciplinary sanctions for inmates**	
	If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits?	yes
115.78 (e)	**Disciplinary sanctions for inmates**	
	Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact?	yes
115.78 (f)	**Disciplinary sanctions for inmates**	
	For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation?	yes
115.78 (g)	**Disciplinary sanctions for inmates**	
	If the agency prohibits all sexual activity between inmates, does the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.)	yes
115.81 (a)	**Medical and mental health screenings; history of sexual abuse**	
	If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison).	na
115.81 (b)	**Medical and mental health screenings; history of sexual abuse**	

	If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.)	na
115.81 (c)	**Medical and mental health screenings; history of sexual abuse**	
	If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a jail).	na
115.81 (d)	**Medical and mental health screenings; history of sexual abuse**	
	Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law?	yes
115.81 (e)	**Medical and mental health screenings; history of sexual abuse**	
	Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18?	yes
115.82 (a)	**Access to emergency medical and mental health services**	
	Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?	yes
115.82 (b)	**Access to emergency medical and mental health services**	
	If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62?	yes
	Do security staff first responders immediately notify the appropriate medical and mental health practitioners?	yes

115.82 (c)	**Access to emergency medical and mental health services**	
	Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate?	yes
115.82 (d)	**Access to emergency medical and mental health services**	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
115.83 (a)	**Ongoing medical and mental health care for sexual abuse victims and abusers**	
	Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility?	yes
115.83 (b)	**Ongoing medical and mental health care for sexual abuse victims and abusers**	
	Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody?	yes
115.83 (c)	**Ongoing medical and mental health care for sexual abuse victims and abusers**	
	Does the facility provide such victims with medical and mental health services consistent with the community level of care?	yes
115.83 (d)	**Ongoing medical and mental health care for sexual abuse victims and abusers**	
	Are inmate victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	na
115.83 (e)	**Ongoing medical and mental health care for sexual abuse victims and abusers**	
	If pregnancy results from the conduct described in paragraph §	na

	115.83(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	
115.83 (f)	**Ongoing medical and mental health care for sexual abuse victims and abusers**	
	Are inmate victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate?	yes
115.83 (g)	**Ongoing medical and mental health care for sexual abuse victims and abusers**	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
115.83 (h)	**Ongoing medical and mental health care for sexual abuse victims and abusers**	
	If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.)	yes
115.86 (a)	**Sexual abuse incident reviews**	
	Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded?	yes
115.86 (b)	**Sexual abuse incident reviews**	
	Does such review ordinarily occur within 30 days of the conclusion of the investigation?	yes
115.86 (c)	**Sexual abuse incident reviews**	
	Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?	yes
115.86 (d)	**Sexual abuse incident reviews**	

	Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?	yes
	The subsection of this provision is no longer applicable to your compliance finding, please select N/A.	na
	Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?	yes
	Does the review team: Assess the adequacy of staffing levels in that area during different shifts?	yes
	Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?	yes
	Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?	yes
115.86 (e)	**Sexual abuse incident reviews**	
	Does the facility implement the recommendations for improvement, or document its reasons for not doing so?	yes
115.87 (a)	**Data collection**	
	Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions?	yes
115.87 (b)	**Data collection**	
	Does the agency aggregate the incident-based sexual abuse data at least annually?	yes
115.87 (c)	**Data collection**	
	Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice?	yes
115.87 (d)	**Data collection**	
	Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports,	yes

	investigation files, and sexual abuse incident reviews?	
115.87 (e)	**Data collection**	
	Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.)	na
115.87 (f)	**Data collection**	
	Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.)	na
115.88 (a)	**Data review for corrective action**	
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas?	yes
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis?	yes
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole?	yes
115.88 (b)	**Data review for corrective action**	
	Does the agency’s annual report include a comparison of the current year’s data and corrective actions with those from prior years and provide an assessment of the agency’s progress in addressing sexual abuse?	yes
115.88 (c)	**Data review for corrective action**	
	Is the agency’s annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means?	yes
115.88 (d)	**Data review for corrective action**	
	Does the agency indicate the nature of the material redacted	yes

	where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility?	
115.89 (a)	**Data storage, publication, and destruction**	
	Does the agency ensure that data collected pursuant to § 115.87 are securely retained?	yes
115.89 (b)	**Data storage, publication, and destruction**	
	Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means?	yes
115.89 (c)	**Data storage, publication, and destruction**	
	Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available?	yes
115.89 (d)	**Data storage, publication, and destruction**	
	Does the agency maintain sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise?	yes
115.401 (a)	**Frequency and scope of audits**	
	During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.)	yes
115.401 (b)	**Frequency and scope of audits**	
	Is this the first year of the current audit cycle? (Note: a “no” response does not impact overall compliance with this standard.)	yes
	If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.)	na
	If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by	na

	the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.)	
115.401 (h)	**Frequency and scope of audits**	
	Did the auditor have access to, and the ability to observe, all areas of the audited facility?	yes
115.401 (i)	**Frequency and scope of audits**	
	Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)?	yes
115.401 (m)	**Frequency and scope of audits**	
	Was the auditor permitted to conduct private interviews with inmates, residents, and detainees?	yes
115.401 (n)	**Frequency and scope of audits**	
	Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel?	yes
115.403 (f)	**Audit contents and findings**	
	The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.)	yes